# Irish Trotting And Harness Racing Federation (ITHRF)

**2014 EDITION** 

Adopted at ITHRF AGM 15<sup>th</sup> Dec 2013

RULES AND REGULATIONS FOR ROAD SEASON

#### THE IRISH TROTTING & HARNESS RACING FEDERATION (2014)

#### PART 1 – ORGANISATION AND ADMINISTRATION

#### **SECTION A - MANDATE**

- A1. The following rules and regulations, having been duly enacted, are hereby declared to be the official rules and regulations of the Irish Trotting and Harness Racing Federation which shall apply to and govern the registration of Standardbred horses for racing, and the conduct of Trotting Racing. All rules and regulations and/or modification or amendments thereto adopted by the Executive of the Irish Trotting and Harness Racing Federation shall become effective on being published following the meeting at which they were adopted. In these rules and regulations the singular shall include the plural and the plural shall include the singular: the masculine shall include the feminine and the feminine shall include the masculine.
- **A2**. Except where they otherwise provide, the Rules apply to all meetings held under the authority of the Irish Trotting and Harness Racing Federation, and to all races run at such meetings.
- A3. The proprietors/committees of every licensed race course and every person who is an Owner, Steward, Licensed Official, Trainer, Jockey, Groom, Starter, Judge, Race Secretary, and any person employed in any capacity, having the care or maintenance of horses affected by these Rules, shall be deemed to have read the Rules of Racing of the Irish Trotting and Harness Racing Federation, and to have agreed to abide by and submit himself/herself to such rules and to the jurisdiction of the Executive of the Irish Trotting and Harness Racing Federation, and to consent to the publication to such bodies as may be appropriate, or in the press.
- A4. The term 'Chairman' in these rules refers to the Chairman of the Irish Trotting and Harness Racing Federation and/or his/her delegate duly appointed in writing. The term "ITHRF" when used in the Rules refers to 'The Irish Trotting and Harness Racing Federation', or any other title, which may be used from time to time.
- **A5.** Meetings of the Executive of the ITHRF shall consist of not less than six elected members or a co- opted member/members of the ITHRF who shall constitute a quorum.
- **A6**. The Irish Trotting and Harness Racing Federation shall have power to repeal, amend, suspend, or add to its rules of racing from time to time. They shall publish every new rule, in which term is included the repeal or amendment of an existing rule, with the date of its final adoption.
- A7. Any violation of any Rule or Regulation may be punished by fine or suspension or both.
- **A8.** All standard penalties for violation of these rules, whether monetary or otherwise, are detailed in Appendix X attached to these Rules.
- **A9**. These rules apply to the Saddle Racing ran by the ITHRF.

#### **SECTION B – DEFINITIONS**

For the purpose of these Rules the following definitions apply:

**Added Money** - is money contributed towards the stakes, other than entry fees.

**Advertised** - means published as an advertisement in the Calendar or a newspaper.

**Age**, **how reckoned** – the age of a horse shall be reckoned from the first day of January of the year of foaling.

**Amateur Jockey** - is a person who owns a licence to ride as an amateur and who has never ridden or driven for hire.

**Appeals** - A request for an Appeal Panel to investigate, consider and review any decisions or rulings of Tracks Stewards or Officials of a race meeting. The appeal may deal with any matter relating to harness racing including placings, penalties, interpretations of the rules or other questions dealing with conduct of races, or such other matter may be considered relevant or necessary.

**Arrears** - are any sums unpaid in respect of fines, entrance money, stakes, subscriptions, or for any reason by virtue of these rules.

**Association** – an organised body formed to pursue the interests of its members and for the benefit of the ITHRF.

**Ballot** – the drawing of a lower graded horse to a higher in order to fill a poorly entered higher grade race or to determine post position

**Banned Substance** – is any substance or any isomer or homologue or diagnostic metabolite of any substance listed in Part 8 or amendments thereto.

**Breaking** – when a horse fails to maintain its designated gait on the trot or pace and canters or gallops.

**Breeder** – is the person or entity recorded as such by the Stud Book authority of the country of first registration.

**Breed Society** – for the purpose of these rules refers to The Standardbred and Trotting Horse Association of Great Britain and Ireland [STAGBI].

ITHRF - means 'The Irish Trotting & Harness Racing Federation'.

**Irish bred** – for the purpose of these rules means any horse born in Ireland.

**Calendar** - Means a publication for the time being, which is authorised by the ITHRF to be published as the official Calendar of the Club.

Classic Race – races forming part of the annual fixtures and designated as Classic Races by the ITHRF.

**Colours** – The distinctive racing garment worn by the driver of a racehorse and registered in that driver's name or that of the owner, trainer or racetrack concerned.

Committee – an appointed or elected body, which meets to discuss and decide as required. The

Committee of the ITHRF consists of representatives of those affiliated bodies that have been granted membership of the ITHRF under the constitution of that body.

**Conditioned Race** – an event to which eligibility is determined according to specified qualifications. Such qualifications may be based upon, among other things;

- [a] The horse's money winnings in a specified number of previous races or during a specified previous time.
- [b] The horse's finishing position in a specified number of previous races or a specified period of time.
  - [c] Age
  - [d] Sex
  - [e] The number of starts a horse has made during a specified period of time.
  - [f] Any one or more combinations of the qualifications herein listed.

**Connections** – include the persons who are the owners or lessees of a horse or who otherwise have a legal interest in it, a trainer, a manager of a syndicate, a stud-master and the authorised agents of such persons.

**Corrupt practice** – means a fraudulent or dishonest action within the meaning of these rules.

**Council** – means the Stewards of the ITHRF who are the legal Directors of the Company.

**Cup** – means any prize not given in money.

Day - means twenty-four hours from twelve midnight.

**Declarations** - A declaration is the naming of a particular horse and driver to a particular race as a starter in that race.

**Disqualified Person** - A person, who has been declared a disqualified person by the Executive of the ITHRF under these Rules and who, during the term of his disqualification, is prohibited from acting in any capacity and is warned off all racecourses and premises licensed under these rules.

**Jockey** - means a person licensed under these Rules to hold, and holding, a jockey's licence

**Eligible** – means to be selected or qualified to act or race in any defined capacity.

**Fallen horse** – a horse that falls while on the racetrack so that either its shoulders and / or quarters have been in contact with the track.

**Fees** - All licence fees stipulated including Registration Fee and Calendar Subscription. The ITHRF shall have the power to amend such fees from time to time. All amendments will be published in the Calendar and shall become effective from the date of such publication.

**Fines** – monies ordered to be paid by a person found guilty of a misdemeanour.

**Finish line** – a real or imaginary line from the centre of the judges' stand to a point immediately across, and at right angles to the track.

**Forfeit List** - is a record of arrears published by the Irish Trotting and Harness Racing Federation in the Calendar or by any recognised Horse Racing Authority. The executive has the right to place any person on this list whom they deem to be bringing the sport into disrepute. A person on this list and members of

their family may not attend any race fixture or any event organised by the ITHRF or any affiliated committee. They cannot register, train or run a horse at nay meeting under ITHRF rules.

**Fraud** – means to obtain personal advantage by deception.

**Governing Body** – means the organisation, which, by convention, recognition or law is or is deemed to be in control of harness racing in any named state or territory. For the purpose of these rules, and with reference to Ireland, it is the ITHRF.

**Groom** – A person over 12 years of age licensed to attend horses.

**Handler** - shall be the person in charge of the horse; [Owner, Trainer, or their appointed agent].

**Handicap** – a race in which performance, sex or distance allowances are made and which may be run either as heats and final or single races. In heats and final, both shall be raced over the same distance.

**Horse** – means a horse bred, cared for, managed, trained, raced or otherwise dealt with for purposes connected with the harness racing industry and includes entire, colt, gelding, mare and filly.

**Interference** – to impede the progress of another horse, horse or jockey whether intentionally or accidentally.

**Ireland** – means 32 counties of Ireland.

**Joint owner** - means any person having a legal interest in a horse in conjunction with one or more other person[s] who also has or have a legal interest in the horse.

**Judge** – the person who determines the result of the race.

**Licence** – an official permit granted by the Governing Body allowing the holder to participate in harness racing under these rules.

**Maiden** - A horse, that has never won a heat or race for which a purse is offered.

**Marshall/Paddock Steward** - means the person appointed for each meeting to control the horses when they enter upon the racetrack.

**Meeting** – may refer to a meeting of the ITHRF Stewards, Committee, or a Race-meeting, according to context.

Month - means 28 days not a Calendar month.

**Nomination** - The naming of a horse, or in the event of a futurity the naming of a foal, to a certain race or series of races, eligibility of which is conditioned on the payment of a fee at the time of naming and the payment of subsequent sustaining fees and/or starting fees.

**Objection** - An objection charging that a horse is ineligible to race, alleging improper entry or declaration, or citing any act of an owner, jockey, or official prohibited by the rules, or any infringement of the rules during a race, and which, if true, should disqualify the horse or jockey from the race or require the placing to be changed.

Offence – save as otherwise specified under these Rules, means a breach of the Rules of Racing.

**Official** – means any steward or official of an affiliated body.

**Owner** - means the registered Owner, Part Owner or Lessee of a registered horse.

**Paddock** – an enclosed area where horses shall parade prior to going on to the track to race.

**Parade** – means the presentation before a race of all runners in race-card order together with their drivers.

**Participant** - means any person, partnership, limited partnership, estate, corporation, or other legal entity participating directly in standardbred horse racing and who, under the rules, is required to hold a licence issued by the ITHRF.

**Penalty** – [a] a punishment for misconduct or illegal behaviour under these rules or [b] a racing handicap imposed as a result of success on the track.

**Penalty Free** – Period of racing or race when the winning penalty is refunded.

**Person** – includes an individual, a syndicate, a corporation and a body corporate.

**Promoter** – a person or Association that is responsible to the ITHRF for the organisation of a Race-meeting under these rules.

**Race** - means a race or event in which harness racing horses race or participate.

**Racecourse** – means a place at which harness racing horses race or participate in events, and includes all the land and improvements – such as stands, seats, stables, enclosures, rooms, offices, betting and recreational areas, car parks – used in connection with the conduct or observation of races, meetings or events by persons engaged in the harness racing industry or by members of the public.

**Racecourse**, affiliated - racecourse conducting harness racing under the rules of the ITHRF.

**Racecourse Executive** - is the person or persons or Company incorporated under the Company's Act or other incorporated or unincorporated body, appearing in the records of the ITHRF as the proprietor of a racecourse.

**Race-day** – the 24 hour period commencing twelve midnight during which a race-meeting is held.

**Race-meeting** – means a meeting at which harness race horses race or participate in events or were scheduled to do so.

Racetrack, or 'track' – means that part of the racecourse on which harness racing horses race.

**Registered Office** - means the registered address of the ITHRF.

**Secretary** - means the person for the time being in office as Secretary of the ITHRF or any person for the time being holding or exercising such office.

**Sponsor** – A person or corporate body who financially supports a race or Race-meeting by the presentation of monetary or other prizes.

STAGBI - Means the Standardbred & Trotting Horse Association of Great Britain & Ireland.

**Standardbred** – a trotting or pacing horse, the progeny of a registered sire and a registered dam, entered in the main body of an approved Studbook.

**Starter** – the official appointed to organise and supervise the starting of races or a horse that has come under Starter's orders.

**Steward** – a person entrusted with management of racing.

**Suspension** - means a term during which a person's licensed privileges are withdrawn and during which time that person is prohibited from acting in the capacity from which he was suspended. Suspensions may start from a time/s prescribed by Stewards when they think fit, in order to achieve a suitable punishment for the offence committed and may include more than one racing season.

**Time of Entry** - Means the time for close of entries, which if no other time is published shall be the time that a list of entries is submitted to the ITHRF office, for the purpose of attaining clearance of Jockey/s, Trainer/s, Owner/s horse/s to race and the issue of a current handicap mark, at a named and dated race meeting.

**Trainer** - Means a person licensed under the Rules to hold, and holding, a trainer's licence.

**Training** – includes the preparation, education and exercising of a horse to race, but not the mere process of keeping a horse in good health.

**Veterinary Surgeon** – is any qualified veterinary practitioner appropriately registered and / or licensed to practise.

**Update** – Means the updating of information relating to an entry at a race meeting.

**Warned off** – a person who is warned off is a disqualified person.

**Walkover** - When only one horse is declared to start it constitutes a "walkover". In a Classic Race a "walkover" is entitled to all stakes money and forfeits unless otherwise provided in the published conditions. To claim the purse the entry must start and go once past the winning post.

**Winning Horse** - Is the horse whose nose, in the judge's opinion, first reaches the winning line, and shall be declared the winner unless disqualified or successfully objected to under these Rules.

**Winnings** – monies or trophies awarded and received by successful participants.

**Wire** – the wire is a real or imaginary line from the centre of the judges' stand to a point immediately across, and at right angles to, the track.

#### SECTION C – AFFILIATED ORGANISATIONS

# Registration of clubs and other bodies

- **C1.** The ITHRF shall keep a register of all racing committees granted affiliation.
- **C2.** [a] An organisation desiring to promote or conduct meetings or races may make application to the ITHRF for affiliation, such application shall be made in the manner and form and be accompanied by such documentation, information and fees as the ITHRF may determine.
  - [b] The ITHRF may grant affiliation upon such terms and conditions as are prescribed by the ITHRF constitution.
  - [c] Affiliation may be refused by the ITHRF without assigning any reason.
  - [d] Affiliation may be cancelled by the ITHRF for breach of a rule or a term or condition of registration provided due notice is given and a hearing granted.
- **C3.** [a] Affiliation shall be granted on an annual basis subject to the conditions continuing to be met and application for continuance of affiliation must made to the ITHRF not less than 2 months before the expiration of any existing registration.
  - [b] An unregistered committee may make application for registration at any time and its application shall be accompanied by such documentation as the ITHRF may from time to time determine.

# Terms of affiliation:

- C4. [a] It is a term and condition of affiliation that an affiliated society or organisation shall keep workers' compensation and public liability insurance current during the term of registration.

  [b] It is a term and condition of affiliation that an affiliated society shall supply the ITHRF with such reports, documents and information as the ITHRF may require including the minutes.
- **C5.** Before permission can be granted for any race meeting or other event, the track proposed to be used for the conduct of meetings or races must be inspected by an Executive member or a person nominated by the ITHRF, must be officially measured and the ITHRF must approve the use of the track. After inspection of a track, no change of venue is permitted unless authorised by the ITHRF.

# Affiliated and new tracks

- **C6. Affiliated Tracks** No track shall conduct a race meeting unless such track is affiliated to the ITHRF and has entered into a contract for services with the ITHRF for the period for which the race meeting is scheduled and the specific racing dates have been sanctioned by the ITHRF.
- **C7.** [a] Each affiliated body organising race meetings shall, whenever requested to so do, furnish the ITHRF with such details relating to the dimensions, features and composition of the committees track as the ITHRF may require.
  - [b] Full details must be furnished by an affiliated organisation to the ITHRF whenever the committees track is modified or race distances are changed or distance or start markers are removed or altered.
- **C8.** All ITHRF licence holders must be allowed to race on all affiliated ITHRF tracks unless their privileges have been withdrawn by the Governing Body. Failure to abide by this rule may result in the affiliation of such track being revoked.

#### Racing clubs / corporate bodies and associations

- **C9.** [a] The secretary or other office holder of a racing association seeking affiliation shall submit the association's constitution, rules, or any amendments thereto, to the ITHRF for approval.
  - [b] The secretary or other office holder of an affiliated racing association shall comply with a direction or request of the ITHRF.

# Information and reports

**C10.** An affiliated club or an official of such club shall, when directed by the ITHRF, furnish it with information or investigate and report upon a matter.

# Exclusion from racecourse

- **C11.** A club may exclude from its racecourse, premises or other place under its control, a person under disqualification or a person currently warned off or excluded from a racecourse under these rules but if it so does
  - [a] A club shall immediately inform the ITHRF of action taken and the reasons for that action.
  - [b] If the ITHRF disallows the action the club shall rescind it.
- C12. A club shall act under rule C13 if so directed by the ITHRF.

# Offences and related matters

- **C13.** An organisation shall not promote or conduct meetings or races unless it is affiliated under these rules.
- **C14.** A person or body shall not, in the absence of permission or affiliation, promote or conduct races at agricultural shows or similar events.
- **C15.** [a] A person holding a ITHRF licence shall not take part in, or be employed or engaged in or about, or be connected with, any meeting, race or event promoted or conducted by any Irish harness or trotting racing organisation not affiliated under these rules.
  - [b] No member of any unaffiliated Irish harness racing organisation can:
  - [i]Be a licence holder of the ITHRF
  - [ii]Serve on any committee or sub-committee of the ITHRF in any capacity whatsoever
  - [iii]Represent the ITHRF in any capacity whatsoever whether at national or international level
  - [iv]Officiate at or promote any meeting held under ITHRF rules
- C16. Any horse owned, trained, raced or ridden by any person convicted of an offence under Rule C17 may be disqualified from racing and barred from any affiliated racecourse or track
- C17. Promoters, having been granted racing date(s), must forward a full list of the officials who will operate at their promotion to the ITHRF by 1<sup>st</sup> December of the year of promotion. All officials must hold current ITHRF certificates of authority to officiate.

#### SECTION D – ADMINISTRATIVE RESPONSIBILITIES

- **D1.** The day-to-day administration of the ITHRF shall be in the hands of the office.
- **D2.** The Secretary shall attend all meetings of the ITHRF, ensuring that proper minutes of the proceedings are kept and distributed and generally perform such duties as are usually performed by secretaries of similar organisations.
- **D3.** The Secretary shall give or cause to be given notices for all meetings of the Executive when directed to do so.
- **D4.** The Secretary shall sign such contracts, documents or instruments in writing as require his/her signature and shall perform all duties incident to his/her office that are properly required of him/her by the Executive and shall be custodian of the seal of the Society.
- **D5.** All monies received by the Secretary shall be banked in the Association's account forthwith by the treasurer.
- **D6.** Assistant Secretaries may perform any of the duties of the Secretary.
- **D7.** The ITHRF office shall:
  - [a] issue and keep records of all licences issued to participants in the categories of Owner, Trainer, Jockey and Groom
  - [b] Issue and keep records of all licences granted to Track Stewards and other licensed officials
  - [c] Issue Race Certificates to entitle horses to participate
  - [d] Check vaccination records and ensure all requirements have been complied with before issuing Race Certificates
  - [e] Keep records as to who is the horse's current owner and trainer
  - [e] Keep records of horses' performance record, earnings and handicap status
  - [f] Supply the Race Framer with all information on horses' performance record, earnings and handicap status for horses entered for any particular Race-meeting
  - [h] Maintain records of Steward's enquiries
  - [I] Liaise with the selected laboratory regarding medication control
  - [i] Undertake such duties as may be contracted to them by STAGBI
  - [k] Arrange for all agreed disbursements to be paid out
  - [1] Perform such other duties as may be required by the Chairman and/ or Executive of the ITHRF.

#### PART 2. STEWARDS AND THE STEWARDING OF RACE-MEETINGS

# SECTION E -THE STEWARDS OF THE IRISH TROTTING AND HARNESS RACING FEDERATION

- **E1**. There shall be the following classes of Stewards
  - [a] **ITHRF Steward** An elected Steward of the ITHRF who is 'ex officio' a Director of the ITHRF
  - [b] **Regional Steward** An Official appointed by the ITHRF to represent that body at any racecourse who is 'ex officio' a member of the Executive but not a Director of the Company. One of the principal duties of the Regional Steward is to determine, assist and operate within the integrity testing programme.
  - [c] **Racecourse Stewards** Persons appointed by individual racecourses, affiliated to and licensed by the ITHRF, who have the responsibility for the conduct of individual meetings in accordance with these Rules and who are licensed as Racecourse Stewards by the ITHRF.
- **E2**. ITHRF Stewards and Regional Stewards are referred to as The Stewards. Stewards of the Meeting are referred to as such, or as Track Stewards.
- **E3**. The Stewards shall have the power to act, advise or instruct on any matter that appears to be an infringement of the rules of the ITHRF.

#### Powers of the ITHRF Stewards

- **E4.** The ITHRF Stewards shall have power
  - [a] at their discretion to grant, suspend and to withdraw licences to or from the proprietors of a Racecourse, Racecourse officials, Owners, Trainers and Jockey's without assigning any reason; to allow any person to act or continue to act as an authorised agent or Steward; to make enquiries into and deal with any matter relating to racing under these rules; and to disqualify horses.
  - [b] Meeting in Committee to approve the allocation of dates on which all meetings shall be held, to cancel such fixtures, to make any alteration to the date of any such Meeting and to supervise and make such alterations as they may think advisable in the programme of, or the conditions of, any race at any Meeting.
  - [c] Meeting in Committee to authorise the level of fees as they shall from time to time determine, in relation to these Rules.
  - [d] to grant or refuse to grant, or to renew or refuse to renew, affiliation in respect of racecourses to the Managing Executives thereof.
  - [e] to grant or refuse to grant, and to renew or refuse to renew, licences to owners, trainers, drivers and grooms. Every application for renewal of any licence or permit shall be treated and regarded in all respects and for all purposes as if it were the first application by the applicant for such licence or permit.
  - [f] to enquire into the circumstances of the dismissal or resignation of a licensed official, Trainer, or Jockey where there is sufficient reason to think that such dismissal or resignation is due to a refusal to carry out any instructions contrary to the Rules of Racing, and at all such enquiries all officials, including Directors of the racecourse concerned, whom the Stewards

consider necessary to the enquiry shall be required to attend and give evidence before them should they so direct.

[g] to make enquiry into and deal with any matter relating to harness racing whether such matters arise in Ireland or elsewhere.

[h] to consider the decision of a Judge under Rule H28 and where, in their opinion, the Judge has made a mistake in determining and announcing the winner or placed horses in a race, which he has failed to correct within the time limit specified in Rule H28, themselves to correct such mistakes and to declare the winner and placed horses. Such decision of the Stewards of the ITHRF, provided it is made within fourteen days of the race, shall supersede that of the Judge.

[i] To correct a decision of the Track Stewards where the Stewards have failed accurately to apply any mandatory provision in these Rules. In such circumstances the person concerned will be informed in writing of the corrected decision. Should the person concerned wish to object to the correction he must apply in writing to the ITHRF office for the matter to be referred to the Appeals Panel within 48 hours of his being given notice of the corrected decision which shall stand unless and until the Appeals Panel after having considered the matter decides otherwise.

# **E5.** The Stewards shall have the power:

- [a] if good cause is shown or when any person has committed any breach of the Rules of Racing to withdraw or suspend his licence or permit and:
- [b] to make the re-instatement of such licence or permit at the expiry of any period of suspension subject to the licence holder having complied with, and / or continuing to comply with, such requirements as they think fit and:
- [c] to provide for any part of the suspension to take effect only if a further suspension is imposed by the Stewards of the ITHRF under these Rules in respect of a matter occurring within such subsequent period, not exceeding one year, as they shall think fit. Where part of the suspension is to take effect it shall follow consecutively the further period of suspension.

#### **E6.** The Stewards shall have the power:

[a] to, at their absolute discretion, warn off any person from all racecourses where these Rules are in force without necessarily assigning any reason for so doing, and may at their discretion report such a person to other interested organisations.

E7. The Stewards may impose any fine not exceeding  $\in 3,000$ .

# **E8.** The Stewards shall have power to require:

- [a] that all stables in which horses racing on licensed racecourses are kept, are situated, constructed and maintained to the satisfaction of the Stewards.
- [b] that the Judge, Racecourse Stewards and Timekeeper are situated in such position during racing and provided with such facilities as will satisfy the Stewards of the Irish Trotting and Harness Racing Federation that they can properly carry out their duties.
- [c] that the measurement, conformation, condition and lighting of the course upon which the horses shall race shall be and continue to be in accordance with the requirements of the Trotting and Harness Racing Federation Stewards
- [d] that all racing equipment and apparatus shall be of good quality designed and manufactured for its purpose and shall be maintained in a satisfactory condition and to prohibit any equipment for use on a horse in a race which, in their opinion, is unsuitable, unsafe or ineffective.
- [e] that all horses kept stabled, which are the subject of a ITHRF licence, whether at a racecourse or the premises of an Owner or Trainer, shall be maintained in good and healthy condition and shall be provided with all facilities and services required to that end. Where the Stewards are not satisfied as to such conditions, they may make such order as they deem fit and may appoint a Veterinary Surgeon to examine and report to them, and may, at their discretion, require that the fees and expenses of any such examination and report shall be borne in whole or in part by the Racecourse Executive concerned, or by the Trainer, or Owner, as the case may be.

- **E9.** The Stewards may, at their discretion, disqualify any horse, which they decide has been the subject of fraudulent practice.
- **E10**. The Stewards shall have power to warn off all licensed racecourses, or disqualify for such period as they may think fit, or otherwise deal with any person who acts in any official capacity on any unapproved racecourse, or who enters, owns, rides or trains a horse entered or running at any unapproved racecourse in Ireland.

#### Steward's Enquiries

**E11**. Track Stewards and / or the Stewards may decide on the need for an enquiry.

# Following the decision to call an enquiry

E12. At any enquiry of the Track Stewards a ITHRF Steward present may act in the position of Chairman, but in doing so shall not form or voice any opinion as to any decision, this must be left to the Track Stewards under the advice and/or instruction of the ITHRF or Regional Stewards

#### E13. The Stewards shall advise and/or instruct:

- [a] Track Stewards as to the rules of the ITHRF
- [b] Track Stewards as to the rights of any person accused of any infringement
- [c] Track Stewards as to the correct procedures to follow during an enquiry in accordance with the Code of Practice as in the current rulebook [Appendix IX]
- [d] Track Stewards as to any rule that may have been infringed and the offence committed
- [e] Track Stewards as to the penalty to be imposed under the Standard Penalties (Appendix X)

#### E14. The Stewards shall advise:

- [a] Licensed Persons as to the rules of the ITHRF
- [b] Licensed Persons as to the rights of any person accused of any infringement
- [c] Licensed Persons as to the correct procedures to follow during an enquiry in accordance with the Code of Practice as in the current rulebook [Appendix IX]
- [e] Licensed Persons as to any rule that may have been infringed and the offence committed
- [f] Licensed Persons as to the penalty to be imposed under the Standard Penalties [Appendix X]
- E15. Any ITHRF Steward is empowered to act under the above Rules in the absence of a Regional Steward at any Race meeting and to determine all questions arising in reference to racing underthese Rules.

# Regional Stewards

- E16. A Regional Steward is a person appointed by the ITHRF to serve on the Executive and to represent the ITHRF at Race meetings where he/she is responsible for ensuring that the rules of that body are fully and firmly implemented and who shall immediately advise the ITHRF of any concerns he may have in this aspect. Although the Regional Steward has responsibility for a specific area he/she is empowered to act at any Race meeting held under these Rules.
- **E17.** The Regional Steward shall ensure that all Track Stewards officiating at any Race meeting he is attending are currently licensed by the ITHRF as a Track Stewards. In case of emergency the Regional Steward may make such stewarding arrangements as he decides appropriate. He will

immediately, on his Regional Steward's report, advise the ITHRF of the incident, his handling of it and his advice as to any action to be taken.

- E18. The Regional Stewards shall have the power to act or advise on any matter that appears to be an infringement of the rules of the ITHRF. Track Stewards and/or the Regional Stewards may decide upon the need for an enquiry.
- E19. The Regional Steward, when present, shall ensure when track enquiries are being held, prior to, during or after a race meeting, that the following requirements are complied with:
  - [a] The Chief Steward shall act as Chairman of the Enquiry and two others, who shall be Track Stewards of the meeting concerned.
  - [b] The Regional Steward shall sit in attendance to advise both the committee of the enquiry and the respondent or their authorised representative must be present.
  - [c] The interviewee shall be asked by the Regional Stewards if he wishes to object to any member of enquiry panel, should there be an objection the Regional Steward shall resolve this matter.
  - [d] The Chairman of the Enquiry shall fully and clearly in form the interviewee of the matter to be discussed including where applicable the rules involved. He shall, if the interviewee so requests, provide him with a copy of the ITHRF rulebook.
  - [e] The Regional Steward shall ensure at all times that the enquiry is held in a proper manner. Any party guilty of improper behaviour will immediately be requested to leave the enquiry, and should they not do so the enquiry shall be suspended. If the person guilty of improper behaviour is the interviewee all his or her licences shall be immediately suspended. The Regional Steward shall advise the ITHRF of the improper behaviour, and of any of the rules of the ITHRF he considers to have been broken.
  - [f] The Regional Steward shall countersign the forms to be sent to the ITHRF.
- **E20.** The Regional Stewards shall advise and/or instruct:
  - [a] Track Stewards and Licensed Persons as to the rules of the ITHRF that must be adhered to
  - [b] Track Stewards and Licensed Persons as to the rights of any person accused of any infringement
  - [c] Track Stewards and Licensed Persons as to the correct procedures to follow during an enquiry in accordance with the Code of Practice as in the current rulebook.
  - [d] Track Stewards and Licensed Persons as to any rule that may have been infringed and the offence committed
  - [e] Track Stewards and Licensed Persons as to the penalty range that may be imposed under the Standard Penalties

# **E21.** The Regional Stewards shall:

- [b] Perform both random and mandatory identity checks on horses brought to the track by means of inspecting their passports and scanning microchips.
- [c] Check the identity of all winning horses by scanning their microchips, or depute a Track Steward to so do.
- [d] Advise unlicensed Persons on how to apply for ITHRF licences if required
- [e] Sign Owner's, Trainers and/or Jockey's Application forms where he/she deems the applicant to be suitably qualified.
- [f] Perform identity checks on horses presented to race by checking their passports and/or microchip numbers, particularly all horses newly qualifying and all winners.
- [g] Forward a report by means of a ITHRF report form on each meeting they attend in their official capacity.
- [h] Bring to the attention of the Chief and/or ITHRF Stewards any matter occurring on any track where they may be present which gives them cause for concern.

E22.	In the absence of a Regional Steward at any race meeting any ITHRF Steward present shall have the power to act in the Regional Steward's capacity under the above rules.

# SECTION F – THE STEWARDS OF THE MEETING [Racecourse or Track Stewards]

- **F1.** [a] The Executive of each and every racecourse shall appoint a minimum of three Track Stewards whose names shall be approved by the Stewards of the Irish Trotting and Harness Racing Federation and who will be issued with licences as Track Stewards, to determine all questions arising in reference to racing at the meeting, to supervise all events on the racecourse in connection with the meeting and to maintain the general integrity of the racing.
- **F2.** Should there not be three Track Stewards present, the senior Steward present shall, without delay, see that any vacancy is filled, so that there shall be three persons to act.
- F3. The Track Stewards shall have full power to make and, if necessary to vary, all such arrangements for the conduct of the racing at the meeting as they think fit under these rules. They have power to abandon the meeting, or to abandon any races, or to postpone any races or to alter the order of running, provided always that the races originally advertised for any day shall be included in that day's programme on the official card, unless prevented by exceptional circumstances.
- **F4**. Should the Track Stewards authorise the abandonment or postponement of any races, or vary in any way a programme as originally advertised, they shall, without delay, report to the Secretary of the Irish Trotting and Harness Racing Federation their reasons for so doing.
- **F5.** The Track Stewards shall have free access to all the places used for the conduct of racing at a race meeting.
- **F6.** The Track Stewards shall regulate, control, take cognisance of, and adjudicate upon, the conduct of all officials and of all Owners, Trainers, and Jockeys, Grooms and persons attendant on the horses.
- F7. The Track Stewards shall have power to determine all questions arising in reference to racing at the meeting, subject to appeal under these rules, and should no decision be arrived at by them within three days of an objection being lodged or the question raised, they shall report the case to the Stewards of the Irish Trotting and Harness Racing Federation, who may, at their discretion decide the matter.
- **F8.** [a] The Track Stewards shall have power to punish at their discretion any person subject to their control, with a fine not exceeding €250 euro, and/or suspension not exceeding two months, and shall report all such facts to the ITHRF Stewards, who may at their discretion, themselves hold a further enquiry into the matter and make such order as they think fit.
  - [b] No penalty may be imposed upon a licence holder without granting a hearing.
  - [c] Track Stewards and ITHRF Stewards must give appropriate notice to licence holders of their intention to hold a hearing or enquiry. In the case of Track Stewards on a race day this will be notification to the licence holder by the public address system and/or personal notification. In the case of ITHRF Stewards where the hearing or enquiry is set down to be resolved at a later date not less than 7 days notice shall be given in writing by email where possible and by first class, recorded delivery post. Failure to attend a hearing or enquiry has serious consequences, see Section U6.

- **F9.** The Track Stewards have full power to determine all questions arising in reference to racing at the meeting whether such question arises at, or during the currency of, such meeting or within the space of 24 hours before the commencement of, or within the like space after, such meeting.
- **F10.** During the Meeting the Track Stewards shall watch every race from start to finish, and as far as possible watch every horse in that race.
- F11. The Track Stewards shall note the running times of horses as compared with previous performances. If they are not satisfied with the running of any horse they shall consult together and, if necessary, call for the advice of the Veterinary Surgeon, and, if they think it to be desirable, they shall report to the Irish Trotting and Harness Racing Federation that an enquiry is being held. The result of such enquiry and the Stewards' decision and the reasons for arriving at such decision shall be reported to the Secretary of the Irish Trotting and Harness Racing Federation in writing.
- F12. Track Stewards will not publish or make public in any way the findings in any enquiry held by them which, by the existing rules, have to be reported to the Irish Trotting and Harness Racing Federation Stewards, without clearly stating that their findings are subject to confirmation or otherwise by the Stewards, and simultaneously informing the Secretary of the Irish Trotting and Harness Racing Federation of their findings.
- **F13.** A Track Steward may not adjudge on any objection or similar matter in which he has an interest without declaring such interests at the time.
- **F14.** The Track Stewards have power to call for proof that a horse is neither disqualified in any respect nor the property wholly or in part of a disqualified person and in default of such proof being given to their satisfaction, they may declare the horse disqualified.
- F15. The Track Stewards have power at any time to order any examination and/or test by a licensed Veterinary Surgeon [including the taking of samples for analysis] of any horse entered for a race or which has run in a race. If having regard or any pre-race examination or test of any horse due to run at a meeting, the Stewards deem it necessary or advisable to do so, the Stewards shall withdraw such horse from racing at the meeting. When a horse having been swab-tested has been found to have and/or the person in charge of the horse at the time such swab was taken may be held responsible and may be charged.
- **F16.** If the Track Stewards in charge of a meeting order a sample for analysis, either of urine or swabs, or any other means to be taken from a horse, such a horse shall be kept at the racecourse or such place as the Stewards may appoint until the sample has been obtained.
- **F17.** The owner of the horse concerned has no authority to remove a horse until the sample has been obtained unless permitted to do so by the Track Stewards who ordered the sample to be taken.
- **F18.** Taking of Samples: (see also Part 8, Section Y)
  - [a] The sample must only be taken by the qualified Veterinary Officer of the racecourse concerned.
  - [b] The Trainer and/or Owner should be present at the taking of any samples from a horse, as well as a Track, Regional or ITHRF Steward.
  - [c] All such samples shall be despatched forthwith by an individual detailed by the Racecourse Executive to such analyst as the Stewards of the Irish Trotting and Harness Racing Federation may direct.

- [d] The result of all analyses of samples so taken must be made available to the Track Stewards who ordered the samples to be taken as well as to the Trainer and/or Owner of the horse concerned.
- **F19.** When in the opinion of the Track Stewards there is a reasonable suspicion that any person has committed any breach of these Rules which in their opinion ought to be considered by the Stewards of the ITHRF, or where in their opinion any person has committed any breach of these Rules and in their opinion some fine or punishment in excess of €250 or a suspension of longer than two months ought to be imposed on such person, they shall report the matter to the Stewards of the Irish Trotting and Harness Racing Federation.
- **F20**. All enquiries held by the Track Stewards must conform to the procedures outlined in Appendix IX.
- **F21.** All decisions of the Track Stewards shall be determined by majority vote.
- **F22.** In the event of an accident, it shall be mandatory to hold an enquiry.

#### PART 3 – THE REGULATION OF RACEMEETINGS

#### SECTION G - RACE FRAMING AND HANDICAPPING

- G1. The Stewards of the ITHRF shall, in consultation with the Members of the ITHRF, from time to time prescribe a system under which handicaps shall be imposed.
- **G2**. The National Handicap System administered by the ITHRF must be used at all affiliated racecourses.
- **G3.** A Handicapping Panel may be appointed by the ITHRF to consider and review all aspects of the handicapping system and report back with recommendations to the Executive.
- **G4.** No person shall attempt to influence the Handicapping panel in the matter of the handicapping of any horse but an Owner or Trainer may apply in writing to the ITHRF office for an explanation of the Handicapping of any horse owned or trained by him/her.
- **G5.** Racecourse Executives shall appoint such number of persons as they deem necessary to act as Race Framers; such appointments shall be subject to the approval of the ITHRF Stewards who shall issue licences by the ITHRF except:
  - [a] No Race Framers licence shall be issued to any person connected in any way with the bookmaking industry whatsoever
  - [b] No Race Framers licence shall be issued to any person who has a licence suspended by the ITHRF, or any other Governing Body, or who is Warned Off
  - [c] No Race Framers licence shall be issued to any person who currently holds a Public Trainer licence.
- **G6.** The duties of the Race Framer shall be to frame the races for any particular Race-meeting held under these Rules and to ascertain that the correct marks are allocated for individual horses in accordance with the system of handicapping for the time being in force, having regard in the case of any particular race to the special conditions [if any] approved for that race. They shall have the power to ballot horses if necessary.
- **G7.** No person shall approach any Race Framer for any other purpose than to ascertain the mark on which any horse owned or trained by him/her is assessed. Any person committing an offence against this rule may be fined or disqualified by the Stewards.
- **G8.** The handicaps of horses will remain unchanged after close of entries, [i.e. when marks are published to Race Framers from the ITHRF office], except where a horse is a subsequent winner after this time, then the mark shall be updated and forwarded to the race framers by the ITHRF office along with any other updates, with the exception of:
  - [i] A horse's handicap shall not be altered from heats to Final, or qualifying Race for that Final unless the 2 races are more than 21 days apart.
- **G9.** The race framer will ensure that any horse which is subject to ballot and races, will not be subject to ballot again until all horses in the original grade have been involved in a ballot.
- G10. The race framer will ensure that any horse which has been balloted can return to its original grade regardless of the result but will carry the points gained.
- **G11.** Race framers may request an owner to re-grade in order to fill a race. This will be treated as a ballot (see G9.)

G12. On reaching 24 points in any grade, a horse will be upgraded. The lowest grade is Novice, followed by Grades E, D, C, B & A. Points for finishing positions are as follows:

[a] First: 8 Points[b] Second: 6 Points[c] Third: 4 Points[d] Fourth: 2 Points

- **G13.** If a horse has three consecutive runs where no points have been gained, then a horse will be downgraded.
- **G14.** All initial handicaps will be received as per the Irish Harness Racing Club's Handicapping system and then the Saddle Grading will be as follows:
  - [a] An unraced horse shall be entitled to race in novice provided it has been bred and owned at all times in the ITHRF jurisdiction or if an unraced horse, born outside of the ITHRF jurisdiction is now owned by a fully paid competitive ITHRF member and has been sketched and registered as a yearling.
  - [b] Grade D is for horses that have an Irish Harness Racing Club's grade of Maiden or Novice
  - [c] Grade C is for horses that have  $\[ \in \]$ 0  $\[ \in \]$ 1250 won in the open handicap according to the Irish Harness Racing Club
  - [d]Grades A & B are for horses that have won €1251 plus according to the Irish Harness Racing Club

This grading system applies to horses once they have received an Irish Harness Racing Club Handicap Mark for that year. Horses returning from other racing organisations such as the British Harness Racing Club will be graded in this system. A horse who is absent from racing for a period of two racing seasons will also be graded in this system.

- G15. A horse bred in the ITHRF jurisdiction or a horse from Rule G14[a] will be entitled to run in novice until reaching 24 points or 5 years of age, and will then be upgraded to Grade E. On reaching the Irish Harness Racing Club's Grade 6, the horse will be upgraded to Grade C and to Grade B when it has reached a Grade 8 in the Irish Harness Racing Club's system, regardless of points.
- G16. Horses winning three consecutive Grade A races will receive a 20 Yard Handicap and may win one more race off this mark without any penalty. If the horse wins its next race, a further 10 yards will be added and for each win thereafter. The Handicap is worked off in the equivalent manner. The history of the handicap will remain for the entire season.
- G17. In races with four [4] or less finishers, the horse finishing in last position will not receive points but the run will count for down grading.
- G18. Horses who fail to finish a run will not be counted for downgrading unless the jockey is instructed to pull up by a steward. Should this happen, the horse will receive the run for downgrading and prize money, if there are less than four [4] finishers.
- **G19.** Grade A horses start the season with zero points and no handicap. All other grades start on zero points with the exception of novice and grade E
- **G20.** A race which is combined on a race day (not advertised) due to non runners, the prize money will be paid out for each grade. It is the responsibility of the stewards to inform the race committee's of this on the race day.

**G21**. A panel appointed by the Stewards of the ITHRF will review the grades of all horses after four meetings from the start of the season. They will have the power to re-grade horses downwards only, regardless of points. They will review the grades again after another two runs and at this point, owners may apply for a mercy drop.

#### SECTION H - THE ORGANISATION OF RACE MEETINGS

- **H1. Racecourse Executive.** The ITHRF Stewards hold the Racecourse Executive responsible for the general racing arrangements of the meeting, and to see that the track is properly measured, marked and conforms to current health and safety regulations, with particular attention to double roping (at grass tracks). Safety barriers should be used in front of spectator areas. The Racecourse Executive must ensure that the track can be enclosed completely in the case of a loose horse and that there are no more than 10 horses in a race.
- **H2**. **Insurance** All racetracks affiliated to the ITHRF must have paid their current insurance contribution to the ITHRF prior to commencement of racing.
- **H3. Officials Required** The Racecourse Executive of every track shall appoint or authorise the appointment of a minimum of three persons familiar with the rules to act as Track Stewards, one of whom shall be designated as Chief Steward. The Track Stewards shall collectively carry out all of the duties and responsibilities specified in the rules. The Racecourse Executive must ensure that all officials have been approved. All Officials mentioned in these rules must sign in at the Stewards room on arriving at the track on race days.
- **H4.** The Racecourse Executive shall also appoint a Race Secretary, Licensed Starter, Judge, Timekeepers, a competent person to act as Race Secretary, a Paddock Steward and a Veterinary Surgeon who shall be provided with the clean and necessary facilities to enable him to carry out his professional duties.
- **H5.** Race cards The Racecourse Executive shall arrange for publication of an official race-card and state thereon that such race meeting is held under the rules of the ITHRF.
- **H6.** All race-cards must:
  - [a] give the names of the officials acting at such race meeting,
  - [b] specify the prize money, added money where possible, distance, basic conditions of every race.
  - [c] give the registered names of horses, breeding, age, sex and colour,
  - [d] give the names of the Owner, Trainer and Jockey of each horse.
  - [e] specify the racing colours to be worn by each jockey
  - [f] give the results of the horses' last three official races as supplied by the ITHRF office which must be published on the race-card, together where possible with some guide to form.
- **H7.** [a] **Declaration Office** The Racecourse Executive shall provide suitable accommodation for declarations.
  - [b] **Steward's Accommodation** The Racecourse Executive shall provide suitable accommodation i.e. a caravan, trailer or tent, for the conduct of Steward's Enquiries and provide sufficient white coats or other suitable distinctive uniform for the use of the Stewards, who shall wear them at all times whilst on duty.
- **H8. Video Facilities -** The Racecourse Executive shall ensure Video facilities are provided at every meeting, which may be used by the Judge to determine finishing order where a photo finish is

not available, and by the Track Stewards in the conduct of any enquiry.

- **H9. First Aid** The Racecourse Executive shall arrange for trained first aid personnel to be in attendance at all times and for an ambulance or other suitable vehicle or accommodation to be available for the care of casualties and must also ensure that no meeting shall commence until their attendance is confirmed.
- **H10. Exclusion from Racecourse** -The Racecourse Executive shall, on direction from the Track Stewards, be responsible for
  - [a] excluding or removing from the racecourse all persons who have been disqualified.
  - [b] taking such steps as may be reasonably practicable to prevent undesirable persons from having any connection with or influence on the race meeting or any licensed persons.
  - [c] investigating and reporting on any matter, which the Track Stewards may require.
- Immediately on receipt of the Start-sheet from the Race-Framer the Race Secretary shall:
  [a] forward a copy to the Secretary of the ITHRF and thereafter no changes shall be permitted to horses entered in any race, the only exception being that a reserve horse may be entered into a race providing such horse was shown as a reserve on the published Start-sheet.
  [b] forward a copy of the Start-sheet by post or fax to all Owners and Trainers with horses engaged at the forthcoming meeting, listing, as a minimum, the runners in each race with their handicap marks, the time each race is to be run and the time the runners are required in the paddock.
- **H12**. Following a Race-meeting the Race Secretary must forward a copy of the Race-card, with the required results clearly marked, to the Secretary of the ITHRF.

#### The Race Secretary

- **H13.** The Race Secretary shall have in his / her possession, for the information of the Track Stewards and Officials, a list of persons warned off and of disqualified persons and of disqualified horses, also a copy of the forfeit list, and they shall not allow any disqualified person or horse, or horse owned by a person on the forfeit list, to start for, or drive, in any race.
- H14. The Race Secretary must ensure that the Official List of Entries, as supplied by the ITHRF Office, listing the horses entered at the meeting, their registration and microchip numbers and handicap grades, is available in the Declaration Office.
- H15. The Track Stewards [Stewards of the Meeting] have the power, and it is their duty, to regulate and govern the conduct of all racing and all participants in accordance with the Rule Book. They are empowered to interpret the Rules and decide all questions not specifically covered by the Rules, unless such power has been specifically limited by either the Rules or by ITHRF Directives. If matters are not covered by these Rules then the matter should be referred to the Stewards of the ITHRF
- **H16.** The Track Stewards are empowered to impose penalties as specified in the Rules and in accordance with Appendix X.
- **H17.** The Track Stewards shall be responsible for appointing an official from amongst their number who shall have authority to stop a race once it is in progress for any reason that appears good and proper at the time.

- **H18.** Unless subject to Rule **F13.** above, the results of Track Stewards' Enquiries are to be announced to the public at the race meeting and published in the following week's race card. If an appeal is pending, this should be made known at the time.
- H19. In the event of a licensed official being absent or incapacitated, the Racecourse Executive, or officer of the ITHRF, may appoint a substitute to act until a licensed official can be procured. The ITHRF shall be advised forthwith of such temporary appointments. In the case of an emergency, a Steward of the ITHRF may exercise any or all of the duties of an official.
- **H20.** If an unlicensed appointee acts for more than three race-days, he shall apply to be licensed in the capacity involved before officiating further in any capacity.
- **H21**. **Occupants of Steward's Room** None but the Track Stewards, the Clerk of the Course, the Secretary, Starter and Timers, Official Commentator and Officers, Officials and Stewards of the ITHRF, shall be allowed in the Steward's Room or box during a race, provided such Official does not contravene Rule **H42**.

#### **H22.** The Chief Steward shall:

- [a] be responsible for the convening of Stewards Enquiries and ensuring that the procedure, as laid down by the ITHRF from time to time shall be followed
- [b] maintain a Steward's Book in which details of all Steward's Enquiries are recorded, with all persons attending in any capacity, contemporaneous notes of evidence given and penalties imposed. A recording device shall be permitted providing all parties are advised of such.
- [c] report in writing to the Secretary of the ITHRF all violations of the rules, whether by a licence holder or official, giving detailed information.
- [d] notify in writing all licensed persons of any penalties imposed upon or against them or their horse/s
- [e] sign each sheet of the Steward's Book, verifying the correctness of the information contained therein, and be responsible for forwarding such copies to any persons penalised and to the Secretary of the ITHRF no later than 48 hours from the close of the race meeting.
- [f] be responsible for the maintenance of the records of the meeting, and the forwarding thereof to the ITHRF, except where the Racecourse Executive has provided otherwise, by 10am the next day.
- **H23**. No person shall act as Chief Steward unless he/she holds a ITHRF Track Steward's licence for the current year from the ITHRF.

# The Judge

- **H24.** The Judge should be in his/her box or position ten minutes before the first race and remain in the box for five minutes after the last race, and at all times when the horses are on the track.
- H25. The Judge, or his/her authorised substitute, must occupy the place designated by the Steward's as the Judge's box or position at the time the horses pass the winning post or the race shall be void, unless the Track Stewards are able to determine the result from the photograph or video.
- **H26.** The Judge shall indicate to the Track Stewards the order of finish, and when this has been approved by the Track Stewards, the numbers of the first three horses in the order of finish shall be publicly announced and the all clear given, after a period of five minutes has been allowed for any objections to be lodged with the Chief Steward.

- H27. The Judge must announce his/her decision immediately the all clear is given by the patrol stewards and/or after consulting the photograph and / or Video recording of the race, and he/she shall base his/her decision exclusively on the position of the horses' noses at the time they pass the winning post. The all-clear should not be given until 5 minutes after the race to allow for any possible objections to be lodged, after which the Official Result can be announced.
- **H28.** The Judge's decision shall be final unless an objection to the winner or any placed horses is made and sustained; provided that this rule shall not prevent a Judge from correcting a mistake, such correction being subject to confirmation by the Track Stewards.

#### Timekeepers:

- **H29**. The times of the Winner and placed horses shall be correctly recorded and handed to the Racecourse Executive, who shall forward a record of such times to the ITHRF Office.
- **H30**. Normally it will suffice to time the first four horses but in the event of prize money being awarded further down the line the times of all placed horses plus the fastest unplaced horse should be recorded.
- **H31**. The Racecourse Executive is responsible for providing the Timekeepers with adequate means for timing races

#### The Starter

- **H32**. The Starter shall obtain a list of runners for each race from the Race Secretary showing their saddlecloth number and giving their starting position according to the draw or handicap.
- **H33.** [a] All races shall be started from the starting gate or by any other method approved by the Track Stewards.
  - [b] The horses shall be started from the starting line by the Official Starter or his deputy.
  - [c] The Starter shall give all the necessary orders and signals for securing a fair start.
- **H34.** Should the Starter consider that through apparent illness or injury a horse is unable to start, he may at his discretion order that horse to be withdrawn, and that horse shall not then be considered as having started or come under Starter's orders. The circumstances of such a withdrawal shall be reported without delay to the Racecourse Executive who shall inform the ITHRF.

# Paddock Steward

- **H35.** Under the direction and supervision of the Track Stewards the Paddock Steward will have complete charge of all paddock activities including:
  - [a] see that strict punctuality is observed in getting the horses on to the track in accordance with the race schedule given him by the Race Secretary
  - [b] inspecting horses for changes in equipment, broken or faulty equipment, head numbers or number cloths.
  - [c] check horses and jockey's in and out of the paddock
  - [d] notify the Chief Steward of any circumstances which could in any way change, delay or otherwise affect the racing programme
  - [e] report to the Track Stewards any act of cruelty to any horse that he observes.
  - [f] see that only properly authorised persons are permitted in the paddock
  - [g] see that all drivers are correctly dressed

# Veterinary Surgeon.

- **H36.** There shall be a qualified Veterinary Surgeon appointed by each Track who shall remain at the track during the whole race meeting and may examine any horse at his/her, or the Stewards, discretion and submit a subsequent report to the Track Stewards. No racing may take place before the arrival of the Veterinary Surgeon.
- **H37.** The Veterinary Surgeon is empowered to inspect any horse presented to race or physically present on the track and
  - [a] perform an identity check on any horse by checking its passport and / or microchip
  - [b] to check the vaccination status of any horse
  - [c] to examine any horse at the request of the Track Stewards and advise
  - [d] report any case of lameness or sickness or any other condition that gives him cause for concern and advise the Track Stewards accordingly.
  - [e] to perform dope tests in accordance with the schedule in Appendix V.
- H38. If, in the opinion of the Veterinary Surgeon, the humane destruction of a horse, or an urgent operation is necessary, he/she shall attempt to contact the owner or trainer. If he/she is unable to do so, he/she shall be entitled to proceed with either of these operations without the permission of the Owner if the latter is not present or objects. The Veterinary Surgeon shall seek a second Veterinary opinion [where practicable] or consult with the Track Stewards in this eventuality.
- **H39.** Veterinary Surgeons whose practice includes horses stabled on a licensed Racetrack shall report immediately to the Racecourse Executive all cases of contagious or infectious diseases occurring within the precincts of the racecourse or training stable and on receipt of such reports, the Racecourse Executive shall immediately notify the Secretary of the ITHRF.

# Disqualification to Act as an Official

- **H40**. A person under suspension or other disqualification, or who has any interest in, or any bet race, or has an interest in any of the horses engaged therein, is disqualified from acting in any official capacity in that race. In the event of such disqualification the management shall be notified by the disqualified person and shall appoint a substitute. Any person who violates this restriction shall be fined, and/or suspended or disqualified.
- H41. An official may be fined and/or suspended, and the licence may be revoked or denied at any time by the ITHRF for incompetence, failure to follow or enforce the rules, or any conduct detrimental to the sport. Such persons shall not be permitted to officiate at any ITHRF affiliated meeting. Such licence may be reinstated by the ITHRF upon such terms as may be prescribed.
- **H42.** All licensed officials are prohibited from wagering, directly or indirectly, on the outcome of a race at a track where they are officiating. Any licensed Official found to or proven to have wagered on the outcome of a race shall be immediately suspended.

# SECTION J – ENTRANCE MONEY, PRIZE MONEY, STAKES, DISTRIBUTION

- J1 The Owner, Part-owner or Lessee of the horse at the time of entry is liable for the entrance money and stake.
- **J2.** Every person making a wrong entry is equally liable for the entrance money and stake. Where a horse has been accidentally entered for a race for which it is not qualified, it shall be withdrawn on payment of a fine at any time before the race.
- **J3. Entrance money** shall go to the Racecourse Executive of the meeting unless otherwise specified in the conditions of the race, and the amount of entrance money shall be stated in the conditions of the race.
- J4. The owner of any horse entered for a race, which subsequently is withdrawn, shall be liable for the full entry fee if the horse has been published on the Start-sheet. Should payment not be made within thirty [30] days of the date of the race having been run the payment shall become a liability to the owner who shall, together with the horse or horses, be suspended until payment is made in full, providing the track notifies the ITHRF in writing.
- J5. If so required by the Racecourse Executive entrance money, stakes and arrears shall be paid; [a] in cash to stake holders.

  [b] at the time of entry.
- J6. The full amount of all added money, advertised by any meeting to be given in prizes, shall be made to the Owners, Lessees or their authorised agents, within fourteen days from the conclusion of the meeting. All cheques issued for prize money must be crossed 'A/C payee only'. Where the horse concerned has been subject of a dope test the prize money shall be withheld until a negative result is returned.
- **J7.** [a] Added money shall be stated on the race-card. If there is no added money then this should be so stated.
  - [b] The value of prizes not in money shall be advertised.
- J8. A minimum of  $\in 100$  shall be paid as first prize in all races, with the exception of qualifying trials where no prize money may be paid.
- **J9.** Prizes and Stakes in a race belong to the winner and or placed horses, in the proportions as published in the race-card.
- **J10.** Perpetual trophies, cleaned and polished, must be returned to the track concerned, or to the ITHRF by 1<sup>st</sup> June or one month before the race is held the following year, whichever is the earliest. It is the winner's responsibility to do this and failure to do so may result in a fine and a cost for replacement.

#### SECTION K – DECLARATIONS AND DRAWING OF POST POSITIONS

- **K1.** Eligibility of Declarations The Race Secretary shall be responsible for checking eligibility of declarations and it is the responsibility of the ITHRF Office to provide up to date information on all horses eligible to race to Race Secretaries.
- **K2. Declaration Time** The Track shall specify the time for closing of declarations. The time must be published on start sheets.
- **K3. Declarations by Telephone** Declarations made by telephone are acceptable provided they are made before the time specified for declarations to close.
- **K4. Failure to Declare on Time** A declaration received after the specified time of closing shall not be accepted, except those omitted due to error or negligence by an official or employee of the Track.
- **K5.** The withdrawal of an entered horse must be done by the Owner/Trainer to the Track Secretary at least 48 hours prior to the race date unless accompanied by a Vets Certificate. Failure to withdraw or declare in the time allowed, will mean a penalty will be applied.
- **K6**. The post position of horses in heats and final of an open handicap will be decided in accordance with the handicap system in force at the time. In the event of two or more horses having the same handicap, the post positions will be decided by ballot.
- **K7. Balloting for Races** At the time specified by the Track the Race Secretary and one other delegated person shall be responsible for the balloting of horses for each race, where applicable in accordance with the current handicap system, and number of runners allowed in a race. Public announcement of ballot shall be made giving interested parties the opportunity to witness such ballot as it takes place.
- **K8.** Horses Omitted through Error Such ballots shall be final, except when there is conclusive evidence that a horse was properly declared but omitted due to error. If the horse omitted by error was declared, it shall be added to the race and given the last post- position. In such case, if its addition exceeds the maximum number of starters allowed in a single race the race shall be divided. In this event, the starters in each heat and their post-positions will be redrawn by ballot.
- **K9.** Except as provided for in **X34**, where a race is split into heats, horses in the same ownership shall be seeded in separate heats whenever possible.
- **K10.** Any horse that has qualified for a final may not be withdrawn except on the advice of a vet, and any such case must be immediately reported to the Track Stewards. A fine and/or suspension may be imposed when this requirement is violated, see K11-12.

# Withdrawing [Scratching] after a horse is declared to Start –

**K11.** Unless the withdrawal takes place on the advice of the Veterinary Surgeon no horse properly declared and drawn to start shall be withdrawn or scratched from the race without the

permission of the Stewards, in which case a report will be submitted to the ITHRF. When this requirement is violated, a fine and/or suspension may be imposed and the horse may be suspended for 14 days.

- **K12.** Naming of Jockey Jockey's must be declared not later than the close of declarations for the race or race meeting set by the Track, and no jockey may be changed thereafter without permission of the Track Stewards.
- **K13. Declaring of Colours** The Jockey's colours must be declared at the same time as the horse and jockey, and may not be changed thereafter without permission of the Track Stewards.
- **K14.** Horses may be coupled as an entry where:
  - [a] one person is the owner of two or more horses in a race.
  - [b] the trainer of one of the horses in a race is the owner of another horse in that race

#### **SECTION L - STARTING**

# With Starting Gate

- **L1. Starter's Control** Horses shall be deemed to be under the control of the starter at all times when the starter is on the track and he shall have control of the horses from the formation of the parade until he gives the word "go".
- L2. There must be a driver and Starter in charge of the Starting Gate at all times when on the track.
- L3. Prior to the race horses are not permitted to go the wrong way round the track after post parade.
- **L4. Warming Up** After one or two warm up laps, the Starter shall notify the jockeys to come to the starting line.
- **L5.** Horses shall be deemed to be <u>under starter's orders</u> for a race, once the starter has called them into line.
- **L6. Recall Notice** In case of a recall [false start], a light plainly visible to the driver a red flag will be raised ahead of the starting position, shall be flashed and a recall sounded, or such other method as the Track may use, and the gate shall proceed out of the path of the horses.
- **L7. Breaking Horse** The Starter shall endeavour to get all horses away in position and on gait, all be had for a breaking horse, unless it interferes with another horse.
- **L8. Reasons for Recall** The Starter may sound a recall **only for the following reasons**:
  - [a] A horse gets ahead of the starting line.
  - [b] There is interference.
  - [c] A horse has broken equipment
  - [d] There is a malfunction of the starting gate.
  - [e] A horse falls before the word "go" is given.
  - [f] A horse comes to the starting line out of position.
  - [g] The race is started from an incorrect point
- **L9**. **Penalties** A fine may be applied to any jockey by the Starter or Stewards for:
  - [a] Delaying the start.
  - [b] Failure to obey the Starter's or Stewards instruction.
  - [c] Rushing ahead of the inside or outside wing of the gate.
  - [d] Coming to the starting line out of position.
  - [e] Crossing over before reaching the starting point.
  - [f] Interference with another jockey during the start.
  - [g] Failure to come up into position.
  - [h] Starting in advance of mark, and the horse will be disqualified
  - [i] Loose or no number cloth
  - [j] Leaving the track without permission
  - [k] Remaining in the race when disqualified

Save in the case of minor offences, no penalty may be imposed without granting a hearing.

**L10. Riding In Gate** - No persons shall be allowed to ride in the starting gate except the Starter and his driver or operator, and a Patrol Judge, unless the Chief Steward of the Track has granted

permission.

- **L11. Two Lines** In the event there are two or more lines of horses, the withdrawing of a horse that has drawn or earned a position in the front line shall not affect the position of the horses that have drawn or earned position in the second line.
- **L12.** Whenever a horse is drawn from any line, horses on the outside move in to fill up the vacancy. Where a horse has drawn a post position in the second line, the driver of such horse may elect to start the race behind any horse in the first line so long as he does not thereby interfere with another trailing horse or deprive another trailing horse of a drawn position.
- **L13. Starting Without Gate** When horses are started without a gate, the Starter shall be the Commentator and shall have control of the horses from the formation of the parade until he gives the word "go". No jockey shall cause unnecessary delay after the horses are called and may be subject to a fine or disqualification.
- **L14.** A horse delaying the race may be started regardless of his position or gait and there shall not be a recall on account of a badly behaved horse. There shall be no recall after the starting word has been given.
- **L15. Unmanageable Horse** If in the opinion of the Stewards or the Starter a horse is unmanageable or liable to cause accidents or injury to any other horse or to any jockey, it may be sent off the track. When this action is taken by the Starter, he will notify the Stewards who will in turn notify the public.
- **L16. Badly Behaved Horse** The Starter may place a badly behaved horse on the outside of the gate. If this action is taken the Stewards and the Public must be informed.

#### **SECTION M - RACING AND TRACK RULES**

- **M1.** [a] Every horse shall be in the paddock not later than the time specified on the Start- sheet or announced over the Public Address by the Track Stewards.
  - [b] Horses shall leave the paddock in number order or as otherwise directed by the Paddock Steward.
- **M2**. On leaving the paddock to compete in a race the jockey shall:
  - [a] ride his horse in its preliminary warm up the correct way of the track only, except when being paraded in front of the stands.
  - [b] be under the control of the Starter and obey all orders of the Starter or the Assistant Starter
  - [c] not leave the track without the consent of the Starter or a Steward
  - [d] not speak to any person, other than an official, until after the race, unless in an emergency.
- M3. Every horse in the race must contest every race and every horse must be ridden to the finish. If the Stewards believe that a horse is being ridden, or has been ridden previously, with design to prevent his winning a race which he was evidently able to win, or is being raced in an intentional inconsistent manner, or to perpetrate or to aid a fraud, they shall consider it a violation and the jockey, and anyone in concert with him, to so affect the outcome of the race or races, shall be fined, and/or suspended or disqualified.
- **M4. Riding Rules** No jockey shall commit any of the following acts, which are considered violations of riding rules:
  - [a] Change course or position, or swerve in or out, or bear in or out during any part of the race in such a manner as to compel a horse to shorten its stride or cause another jockey to change course, take his horse back, or pull his horse out of its stride.
  - [b] Impede the progress of another horse or cause it to break from its gait.
  - [c] Fail to give a minimum of ten feet clearance when pulling in front of another horse or in front of the field.
  - [d] Allow another horse to pass needlessly on the inside, or commit any other act that helps any other horse to improve its position.
  - [e] Carry another horse out.
  - [f] Take up or slow up abruptly in front of other horses so as to cause confusion or interference among the trailing horses.
  - [g] Lay off a normal pace and/or leave a hole when it is well within the horse's capacity to keep the hole closed.
  - [h] Ride in a careless or reckless manner.
  - [i] Fail to drive a horse to the extent of its ability, considering track conditions, weather and circumstances confronted in the race.
  - [j] Back off from any position and subsequently come on when challenged.
  - [k] Fail to properly contest an excessively slow pace.
  - [1] Fail to report an accident or broken equipment.
  - [m] Drive a horse into a position where insufficient room exists.
  - [n] Crowd another jockey by allowing his horse to touch the jockey in front.
- **M5.** [a] Loud shouting or other improper conduct is forbidden in a race.
  - [b] No Jockey may have a mobile telephone or beverage in his possession whilst on the track, nor may a jockey smoke whilst on the track or in the paddock.
  - [c] Unnecessary conversation between and among jockey while on the race-track, up to and

including the race, is prohibited. Any violation of this rule may be punished by a fine, and /or suspension or combination thereof.

- **M6.** Any Jockey who looks round unduly during a race may be fined by the Stewards.
- M7. [a] Jockey's must keep their two feet in the stirrups whilst on the track
  - [b] Any jockey kicking a horse in a race will incur an automatic suspension and a fine.

# Use of the whip

For saddle races, delete 'whip' insert 'crop'.

- **M8.** Jockey's will be allowed crops not to exceed 3 feet 8 inches plus a snapper not longer than 6 inches. Provided further that the following actions shall be considered as excessive or indiscriminate use of the crop:
  - [a] Excessive frequency and/or excessive force Stewards may examine cases of 8 or more strikes (4 or more on 2yo) but concentrate on the manner in which it had been struck.
  - [b] Causing visible injury
  - [c] Whipping a horse after a race
  - [d] Continuing to use the whip on a horse that is not visibly responding
  - [e] Using the whip in a brutal or unauthorised manner, including using the butt end of the whip
  - [f] using the whip without giving the horse time to respond.

The Stewards will consider all relevant factors such as:

- [i] whether the number of hits was reasonable and necessary over the distance they were given, taking into account the horse's experience.
- [ii] whether the horse was continuing to respond
- [iii] the degree of force that was used; the more times a horse has been hit, the stricter will be the view taken over the degree of force which is reasonable.

The use of the crop may be judged to be proper or improper in particular circumstances which have not been included above.

- **M9.** The use of any device or appliance, other than the ordinary crop, including reins, upon any horse in any race shall constitute a violation of this rule.
- **M10.** No jockey or licence holder shall intentionally strike, with his crop or otherwise, any other person or horse (other than the horse a driver is riding). Any licence holder who fails to comply and intentionally strikes another horse, licence holder, official or any other person shall incur an automatic one month suspension and the horse will be disqualified from the race

# Order of riding priority

- **M11.** The order of priority set out below shall apply in relation to an owner or trainer who has more than one horse engaged in a race and desires to drive in such race.
  - [a] Firstly he shall ride the horse he owns and trains
  - [b] Secondly he shall ride the horse, which he owns but which is trained by some other person
  - [c] Thirdly he shall ride the horse trained by him but not owned by him
  - [d] Fourthly if none of the horses so engaged comes within the categories set out in the foregoing priorities he shall ride the horse approved by the Track Stewards
- M12. In any Final [i.e. a race for which the runners qualify by performance in heats] each runner shall be ridden by the rider who rode in the qualifying heat except, with the permission of the Track Stewards, in the case of illness or accident. Where the rider has two or more runners in the final he shall be allowed his choice of runner in the final, provided such choice does not

contravene rule M13 of these rules.

M13. Any Jockey who commits a breach of any of the sub clauses to this Rule may be fined by the Stewards, who may also suspend or disqualify him for such period as they think fit.

# Interference

- M14. Any complaint by a jockey of any foul driving or other misconduct during the race must be made at the termination of the race, unless the jockey is prevented from doing so by an accident or injury. Any jockey wishing to make any complaint regarding violation of the Rules, must before dismounting indicate to the Stewards that he wishes to enter such claim or complaint and, immediately upon dismounting, proceed to the Steward's Office where and when such claim, objection or complaint shall be immediately entered.
- M15. In case of interference, collision, or violation of any of the above restrictions, whether occurring before or after the start, the Stewards may place the offending horse back one or more positions in that race, and in the event such collision or interference prevents any horse from finishing the race, the offending horse may be disqualified from receiving any winnings and the jockey may be fined, and/or suspended or disqualified. In the event a horse is set back, under the provisions hereof, he must be placed behind the horse with whom he interfered.
- **M16.** When a horse or jockey has caused interference:
  - [a] intentionally or by reckless driving in any part of the race after coming under Starter's Orders, the horse shall, on an objection under Rule T1 be disqualified.
  - [b] by irresponsible driving in any part of the race after coming under Starter's Orders the horse shall, on an objection under T1 be placed behind the horse or horses with which it has interfered or placed last except that the Track Stewards may order that the placings remain unaltered if:
  - [i] the Judge has placed the horse behind the horse or horses with which it has interfered; or
  - [ii] the Track Stewards are of the opinion that the interference was of a minor nature, and are not satisfied that the interference improved the horse's placing in relation to the horse or horses with which it interfered.
  - [c] by careless driving in any part of the race after coming under Starter's Orders the horse shall, on an objection under Rule T1, be placed behind the horse or horses with which it has interfered, or placed last if the Track Stewards are satisfied that the interference improved its placing in relation to the horse or horses with which it interfered. If they are not so satisfied they shall overrule the objection and order that the placings shall remain unaltered.
- M17. For the purpose of the application of sub-rules M18[a], [b] and [c] the placing of a horse interfered with shall that be decided by the Track Stewards.
- M18. The jockey of any horse who, in the opinion of the Track Stewards, or the Stewards of the ITHRF, has intentionally caused interference or has been guilty of reckless, irresponsible, careless or improper driving at any time whilst on the racetrack and whether before or after a race shall be guilty of an offence and may be fined or suspended.
- M19. For the purposes of Rules concerning interference and improper use of the whip the following definitions apply:
  - 1. Intentionally causing interference (e.g. M16-20)
  - A jockey is guilty of intentionally causing interference if he purposely interferes with any other horse or jockey.

# 2. Reckless Riding (e.g. M4 [j])

A jockey is guilty of reckless riding if he shows no regard to the consequences of his actions and/or the risk to others, and in particular, the danger of injury to other horses or jockey's.

# 3. Irresponsible riding (e.g. M4 [a])

A jockey is guilty of irresponsible riding when interference is caused by some manoeuvre of the jockey and where it ought to have been obvious to the jockey that interference would be the result.

# 4. Careless riding (e.g. M4 [j])

A jockey is guilty of careless riding if he fails to take reasonable steps to avoid causing interference or causes interference by misjudgement or inattention.

# 5. Improper riding (e.g. M9-M12)

Improper riding covers cases of improper use of the crop or striking other jockeys or horses, but may include other forms of misconduct whilst riding that do not give rise to interference.

**M20.** The penalties for these offences are laid down in Appendix X. If the Stewards decide that a horse has gained advantage, including its race, through the misconduct of the jockey, they may demote the horse. Should they not demote the horse, the Stewards must state on the official Report Form their reasons for not so doing. Demotion of the horse shall not influence any punishment imposed on the driver.

#### **Breaking**

- **M21.** When a horse breaks from its gait, the Jockey shall immediately, where clearance exists, take it to the outside and pull it to the gait at which it was declared to go in the race, and if the Jockey fails so to do the horse, if it is placed, shall be disqualified from the race and the Jockey may be fined, and/or suspended or disqualified for failure to lose ground by the break.
- **M22.** The following shall be considered violations of Rule M23.
  - [a] Failure to properly attempt to pull the horse to its gait.
  - [b] Failure to take to the outside where clearance exists.
  - [c] Failure to lose ground by the break.
- M23. Any horse making a break, which causes interference to other contending horses, may be placed behind all offended horses. Where there has been no failure on the part of the rider of the breaking horse in complying with sub-sections of Rule M24, no fine or suspension shall be imposed on the driver as a consequence of the interference.
- **M24**. If in the opinion of the Track Stewards, a driver allows his horse to break for the purpose of fraudulently losing a race, he shall be liable to fine and/or suspension.
- **M25.** If any horse is in a break at the finishing line it shall be placed behind any horse that finishes within one length of it.
- **M26.** In the event a ride is unsatisfactory due to lack of effort or carelessness, and the Stewards believe that there is no fraud, gross carelessness, or a deliberate inconsistent ride they may impose a penalty under this sub-section including, but not limited to, a fine and/or suspension or disqualification.

- **M27.** If a race has to be stopped, for whatever reason, when it is restarted no horse can be partnered by anyone other than the originally declared rider, except in such circumstances where the original rider is physically unfit to ride. If that is the case, permission must be obtained from the Track Stewards and a public announcement made to that effect.
- **M28.** If a horse is declared to have fallen during a race, it shall not be permitted to run in any rerun or race at that meeting. Such declaration shall be made if the horse's quarters and/or shoulder touch the ground, or the jockey has been unseated, provided that the medical team determine that he/she is unfit to do so.
- **M29.** No horse may race more than twice in any one day, other than reruns.

#### **Dead Heats**

- M30. In the event of a dead heat between two or more horses:
  [a] all monies or other prizes shall be divided equally between the owners of such horses
  - [b] the horses shall be handicapped in accordance with the handicap system in force at the time and the first prize money actually received.
- **M31.** In the case of a trophy, the question shall be determined by lot by the Stewards.
- **M32.** In the case of a prize which cannot be divided, the question shall be determined by lot by the Stewards, who shall also decide what sum of money shall be paid to the other owner or owners in respect of such prize.

#### Road Trotting

- **M33.** The Road Racing season is deemed to start from January 1<sup>st</sup> each year.
- **M34.** All competitors must approach the turning point from the left and turn right. Charging is strictly forbidden within an exclusion zone of 50 yards before the turning point, where no passing will be allowed on the right hand side.

# SECTION N - QUALIFYING TRIALS, TIME TRIALS AND RECORDS

**N1.** The purpose of Qualifying Trials is to ensure that horses running under betting are able to turn in a competitive and safe performance, thus underpinning the integrity of the sport.

#### TIME TRIALS

- **N2.** Time Performances Time performances are permitted subject to the following:
- **N3.** Urine or blood tests are required for all horses starting for a time performance and a positive result will invalidate the trial. Payment for the test to be made by owner.
- **N4.** An approved timing device is required for all time performances. In the event of a failure of a timer during the progress of a time performance, no time trial performance record will be obtained.
- **N5.** If there is a failure or malfunction of the timing device and it is discovered prior to the completion of the first half mile of the trial, there shall be a recall and it is the responsibility of the track to provide a signal plainly visible to the driver which shall be flashed when a recall is in order.
- **N6.** Time trial performances may be permitted by the Chief Steward of a Track, provided a full complement of licensed officials are present.
- **N7.** In any race or performance against time excessive use of the whip shall be considered a violation and no performance record will be obtained.
- **N8.** Any consignor, agent or sales organisation or other person, may be fined and/or suspended for selling or advertising a horse with a time trial record without designating it as a time trial.
- **N9.** Time trial performance records shall not be included in the performance lines in a race programme.
- **N10**. Time trial performances shall be designated by preceding the time with two capital 'T's.
- **N11.** When a horse performs against time it shall be proper to allow another horse or horses to accompany him in the performance but not to precede or to be harnessed with or in any way attached to him.
- **N12.** A break during a time trial is a losing effort and a losing performance shall not constitute a record.

#### ITHRF NATIONAL RECORDS

- **N13.** The time must be a winning time.
- **N14.** Urine or blood tests according to rules are required for all horses claiming a record and a positive result will invalidate the record.
- **N15.** An approved timing device is required for all records. In the event of a failure of a timer during the progress of a record, no record will be obtained.

## **SECTION P - POSTPONEMENT**

- P1. Under exceptional circumstances or if the weather causes the track to be in an unfit state the Track Stewards, ITHRF Stewards, Land Owners and/or Promoters have the power to postpone or abandon a race meeting.
- **P2.** The Racecourse Executive, with the agreement of the ITHRF, may, in exceptional circumstances cancel a meeting, in which case:
  - [a] Every effort must be made to notify all officials and participants of such cancellation.
  - [b] The Racecourse executive will have the option to apply to the ITHRF for a convenient alternative date, which does not conflict with any other date.
  - [c] When a meeting is cancelled, Owners / Trainers may have the option to transfer their entry to the arranged alternative date.
  - [d] In the case where entry fees have been paid prior to racing date, owners/trainers may have the option to either transfer their entry or request a refund of entry fee.
- **P3.** [a] In the case of Classic races, these should be raced where advertised, if possible
  - [b] All horses declared prior to raceday, must declare again in line with track conditions on raceday before the draw can take place. Only horses declared on raceday will be included in the draw
  - [c] Any Classic race that has been started and remains unfinished on the last day of the scheduled dates, shall be declared ended and the full purse divided. Horses that are scratched after a heat and before the event is declared finished, do not participate in purse distribution
- P4. In order to transfer classic races to another meeting consent must be obtained from two thirds of the track members and those having horses in the event. In the event of the impossibility of racing a scheduled classic race because of the unavailability of the scheduled track, a suitable alternate site may be selected with the approval of two thirds of the owners of the horses and the ITHRF.
- **P5**. Failure to comply with the above regulations when cancelling or abandoning a meeting, will result in a fine or suspension being imposed on the Racecourse Executive concerned by the ITHRF.

## PART FOUR - HORSES AND EQUIPMENT

## **SECTION Q - HORSES**

## Registration

- Q1. [i] To enter any racetrack on racedays, every horse must have at least their first two primary flu vaccinations and be microchipped. These must be recorded in the horse's passport by a vet.
  - [ii] To be eligible to **enter** to race ALL horses must:
  - [a] be registered with The Standardbred and Trotting Horse Association of Great Britain and Ireland or an internationally recognised harness racing authority and be a full Standardbred i.e. the progeny of a fully registered sire and a fully registered dam.
  - [b] be identified by a microchip properly implanted halfway down the neck on the left side of the crest, and its microchip number must be registered with the ITHRF Office.
  - [c] have a current flu vaccination certificate complying with the criteria laid down in Appendix II
  - [d] be qualified or requalified to race under these rules in the times
  - [e] hold a current Race Certificate issued by the ITHRF [which will only be issued subject to all of the above being in place] or an internationally recognised racing body, endorsed by the ITHRF.
  - Failure to comply with Rule Q1 will result in a fine and/or suspension and horse will not be permitted to race
- Q2. At the meeting at which such horse first runs after it has been so registered, the Trainer shall produce, or cause to be produced, to the Stewards of the Meeting, a valid Race Certificate and Documentary Proof of compliance with Rule Q1. above [i.e. an approved passport]
- Q3. Confirmation from the ITHRF of a horse's eligibility to race is required and must be confirmed to the Race Secretary/Stewards of the Meeting.
- **Q4.** If a flu vaccination certificate is found to be incorrect or altered in any way, the Owner and / or Trainer will be referred to ITHRF and the horse will be disqualified from racing until all vaccination requirements are complied with and a correct vaccination certificate is obtained.

## Two Year Old Horses

- **Q5**. No two year old horses are eligible to race.
- **Q6**. No horse will be eligible to race unless it has first qualified by time under the rules of the Irish Harness Racing Club.
- Q7. Horses not under lease must race in the name of the bona fide owner. Horses under lease must race in the name of the lessee and a copy of the lease agreement must be filed with the ITHRF. Failure to lodge a copy of the lease agreement with the ITHRF within 7 days of its finalisation shall incur a fine of €250, payable by both the lessor and lessee.
- **Q8.** After a registered horse has been entered in a race [including a Qualifying Trial] its name may

not be changed except to allow the addition of a sponsor's name as a prefix or suffix to the horse's registered name on payment of the appropriate fee

**Q9.** Application for any change of name made prior to qualifying to race shall be made in writing, to the ITHRF or Registrar of STAGBI accompanied by the appropriate fee.

## Q10. Imported Horses

Application to register an imported horse to race must be made to the Secretary within 28 days of the date of its arrival in Ireland. All such applications must meet the following criteria:

- [a] the name [if any], age, sex, colour, pedigree, brands and distinguishing marks, microchip number or blood/DNA type and a performance record [if any] of the horse must be supplied
- [b] that the horse is not disqualified from running in that Country or State;
- [c] such other particulars or information as the ITHRF may require.
- [d] Imported horses must qualify before racing, unless they have raced or qualified in the previous season.
- Q11. Visiting horses will be permitted to race on payment of an annual visitor fee and provided full information regarding race performance, current season and lifetime winnings, grade etc. is supplied, by the relevant racing authority under whose jurisdiction the horse has been competing, in writing to the ITHRF office three weeks before entries close for any event.
- Q12. Irish Horses must be registered with STAGBI and licensed by the IHRC and will race under the ITHRF handicap system in place at the time of racing.
- Q13. Horses coming to race on Temporary Export Certificates may not be sold or transferred while under a temporary certificate.

## Change of ownership

- Q14. [a] All of the foregoing sections are however, subject to the reservation that if a horse transfers ownership such horse may start once only under the sellers name provided that person is licensed as an Owner for the current period under these rules
  - [b] Failure to forward such documentation within 20 days after purchase of a horse that is racing, will subject the buyer to a fine and the horse will be suspended from racing until all documentation is completed.
  - [c] Any person who is a party to, whether acting as an agent or otherwise, skipping or omitting transfers of ownership in the chain of ownership of any horse shall be subject to a fine, and/or suspension, or expulsion
  - [d] Failure to comply with any of the provisions of this section constitutes a violation by the Owner, and/or Trainer of the Rules of both the ITHRF and STAGBI and may be punishable by a fine and/or suspension.
- Where the ITHRF or any other recognised body affiliated to the ITHRF has ordered that costs be paid ("the costs order") in respect of investigations or tests of a specified horse or horses (by way of example but not limited to costs incurred by the ITHRF and it's agents in furtherance of the Integrity Testing Regime) those horses to which the costs order relates will not be permitted to run in races falling within the jurisdiction of the ITHRF or any of it's affiliated bodies until those costs have been paid. For the avoidance of doubt this restriction will apply whether or not the horse remains in the same ownership as at the time that the costs order was made. A list of such horses will be published in the Calendar and on the ITHRF website.

## **SECTION R - EQUIPMENT**

- **R1.** All equipment must be of good and safe design and quality and maintained in sound and serviceable condition, capable of withstanding the stresses of trotting racing.
- **R2.** Any failure of any part of a horse's harness or equipment during a race must be reported to the Track Steward's immediately after the race by the Trainer or Jockey.
- **R3.** Where the Track Stewards are concerned over the condition of any harness or other equipment they may either require maintenance action or forbid any equipment that causes them concern from being used.
- **R4**. Each horse must be safely equipped for each race and, if it is determined by the Stewards that a horse has been raced with unsafe or faulty equipment, the Stewards may impose a fine, and/or suspension on the Trainer concerned.
- **R5.** The permission of the Track Stewards must be obtained before any alteration of the equipment on a horse during a race meeting. Failure to obtain such permission could result in disqualification of the horse and a fine.
- **R6.** Headcollars may not be worn with the bridle whilst a horse is harnessed on the racetrack on race days.

## PART FIVE - PARTICIPANTS

## SECTION S – GENERAL RULES GOVERNING PARTICIPANTS

- **S1.** [a] All participants in Road & Field Racing under ITHRF rules are required to obtain the appropriate licence from the ITHRF.
  - [b] All licence holders are required to complete a form agreeing to abide by the Rules and Regulations of the ITHRF and where fines/costs are levied against them, undertake to pay both those fines and costs.
  - [c] All participants licensed by a recognised Governing Body will be required to complete a form agreeing to abide by the Rules and Regulations of the ITHRF and where fines/costs are levied against them, undertake to pay both those fines and costs.
- **S2**. All provisions under the rules shall apply to men and women participants equally.
- S3. The Stewards of the ITHRF shall consider every application for a licence, and where the applicant is eligible under these rules to hold the licence applied for, may:
  - [a] Grant the same subject to such conditions or restrictions, if any, they think appropriate to impose;
  - [b] In the case of an applicant for a jockey's licence, may require him/her to produce a satisfactory medical certificate of fitness to ride;
  - [c] At their absolute discretion refuse to grant the same, where they consider the issue of such licence is not in the interests of racing.
  - [d] Require any applicant who claims to hold a licence to ride or train granted by any Racing Association outside Ireland, to produce the same for inspection.
- **S4.** Every such licence shall continue in force until the 31st day of March next following the issue thereof, and shall then expire, unless granted for a specific period expiring at an earlier date, or cancelled, withdrawn or voided.
- **S5**. In dealing with any application for renewal, the Stewards of the ITHRF shall have the same power as in **S3** above.
- **S6**. The Stewards of the ITHRF may, at their discretion, cancel or withdraw any licence or suspend any licence for such period during the currency thereof, if they consider there are reasonable grounds for so doing.
- **S7.** On being notified of the cancellation, withdrawal or suspension of a licence, the holder thereof shall forthwith return the same to the Secretary.
- **S8**. No bookmaker shall be granted a jockey's licence and no jockey shall be allowed to stand as a bookmaker or assist a bookmaker in any capacity whatsoever.
- **S9.** No bookmaker shall be granted a trainer's licence and no trainer shall be allowed to stand as a bookmaker or assist a bookmaker in any capacity whatsoever.
- **S10.** Any change of name (e.g. due to marriage) should be notified to the ITHRF within 28 days.

## **JOCKEY'S**

- **S11.** [a] If in the opinion of the Track Stewards, a jockey/licence holder is for any reason unfit or incompetent to ride or refuses to comply with the directions of the Stewards, or is reckless in his/her conduct and endangers the safety of horses or other jockeys in the race, he/she may be removed.
  - [b] All jockeys who have reached the age of 65 may, at the discretion of the ITHRF Council, have to undergo a medical examination at their own expense.
- S12. Jockey's must wear either their own or Owner's colours, which must be registered with the ITHRF, and clean white trousers, and shall not be permitted to ride in a race or other public performance unless, in the opinion of the Judges, they are properly dressed, their driving outfits clean, and they are well groomed. The ITHRF must be informed at time of entry if colours other than the jockey's own are to be worn. Any change of colours during the season must be notified to the ITHRF immediately. All jockey's must be of smart appearance and all fastenings, buttons, zips and tapes must be fastened.
- **S13.** During inclement weather conditions, jockeys may wear rain suits either of their colours or made of a transparent material through which their colours can be distinguished, or such other rain suits as may be allowed at the discretion of the Track Stewards.
- **S14**. The Track Stewards or Racecourse Executive may insist that a jockey must wear his or her own colours or may insist on the use of track colours provided by themselves.
- **S15**. [a] All Jockeys on passing their three ITHRF amateur races must register their own racing colours at an annual registration fee.
  - [b] Owners/Public Trainers may, if they so wish, continue to register their own colours.
  - [c] The person responsible for entering the horse must declare whose colours the jockey will be wearing.
- **S16**. A participant must wear a protective helmet with a chin strap securely fastened under the chin at all times when mounted in the saddle, on the track. The protective helmet must meet, as a minimum, BS EN1348 standard or equivalent.
- **S17.** All jockeys when on the track on race days, are required to wear a Body Protector, which offers full back protection and which is tested and approved to the European Standard EN 13158:2000 or equivalent..
- **S18.** All jockeys must wear footwear with a substantial heel, made of good leather or synthetic material. Under no circumstances should trainers, Wellington boots or other insubstantial footwear be worn.
- **S19.** [a] All jockey's must wear colours when warming up a horse prior to racing or at any time when on the race-track on a race day.
  - [b] All jockey's must parade or remain in proper post parade order, unless excused by the Stewards, until the parade has passed in front of the grandstand and the horses have turned on the track.
  - [c] All jockey's must confirm weight before racing. The minimum weight for road racing is nine stone and it is seven stone for field racing. Should a jockey be below these thresholds, they will be requested to carry lead bags in order to achieve the minimum weight.

#### RULES COMMON TO ALL PARTICIPANTS

- **S20.** All participants must be able to produce their licences or horses passports if requested to do so by any official. Failure to do so may result in a penalty being imposed.
- **S21**. Only owners, trainers, jockeys and grooms of horses competing in that race are entitled to admission to the paddock, unless prior permission has been obtained from the Track Stewards for a handler to attend the horse.
- S22. In the event any person is involved in an accident on the track, a written report must be sent to the ITHRF, the Stewards of which body may order such person to submit to a physical examination. Such examination must be completed within thirty days from such request or the person's licence may be suspended until compliance therewith.
- **S23**. It shall be an offence under the Rules for any person to drive while medically unfit to do so, or while under the influence of drugs or alcohol.
- **S24.** When directed by the Stewards, or an authorised official of the ITHRF, participants shall submit to a breath analysis test. A driver showing a reading above .00 percent shall be subject to disciplinary action. Any participant who refuses to submit to a breath analysis test as required by the rules shall be prohibited from riding on that day and is liable to a fine and/or suspension and being referred to ITHRF.
- S25. Where breath analysis testing equipment is not available, if, in the opinion of the Track Stewards a person is unfit to drive, or whose conduct may reflect adversely on the sport due to alcohol or drug impairment, he/she shall be suspended forthwith for the remainder of that day and may be subject to a further suspension and fined as the Stewards see fit and referred to ITHRF.
- **S26.** The personal use by any participant of any illicit drug is prohibited. Random testing for personal drug use may be carried out on the instruction of the Stewards.
- **S27.** No licence holder shall use improper language to an official, officer of the ITHRF or be guilty of any improper conduct towards such officers or Stewards, or persons serving under their orders.
- **S28.** No licence holder, at any time or place, shall commit an assault and battery upon any participant or threaten to do bodily injury to any participant, or address any participant with insulting or offensive language.
- **S29**. Violation of the following shall make offenders liable to a fine and/or suspension:
  - [a] Use of offensive or profane language.
  - [b] Disturbing the peace.
- **S30.** Failure to report fraudulent proposal. If any person shall be approached with any offer or promise of a bribe, or a wager, or with a request or suggestion for a bribe, or for any improper, corrupt or fraudulent act in relation to racing, or that any race shall be conducted other than fairly and honestly, it shall be the duty of such person to report the details thereof immediately to the Chief Steward.

- **S31.** No Owner or Trainer shall cause or permit any horse which is suffering from any infectious or contagious disease, or which has recently been exposed to the risk of such disease, to be or to remain, upon any racecourse or within the precincts thereof, provided that it shall be a defence to an alleged breach of this Rule to provide that neither the Owner nor Trainer knew, or reasonably could have known, of such disease or the fact of such exposure.
- **S32.** Any licence holder found guilty of committing an act of cruelty on a horse shall be liable to a fine and/or suspension. The Stewards of the ITHRF shall have the authority to order an investigation and a hearing and to impose a penalty for any act of cruelty or neglect of a horse committed by a licence holder whether on or off the track.
- [a] Any licence holder who participates at a race meeting which is not approved by the ITHRF, or not sanctioned by a recognised governing body of another country, may be fined for each such offence, and may be suspended or expelled.
  [b] A horse which has raced at such meetings may return to racing under ITHR rules providing it has become the property of a current ITHRF Licence Holder and subject to approval of the Stewards.
- **S34.** Road Racing in any form is absolutely forbidden, and it is illegal for any licence holder to participate in such racing with the exception of those ran under these rules. Any licence holder participating in illegal Road Racing in any capacity may be fined and/or suspended by the Stewards of the ITHRF.

## STANDARDS OF CONDUCT

- **S35.** No owner, trainer, jockey, attendant of a horse, or any licensed person or steward shall use improper language (By any means either speech or any form of communication) to an official, or an officer of the ITHRF, or be guilty of any improper conduct toward such officers, or Stewards, or person serving under their orders.
- **S36.** No owner, trainer, jockey, or attendant of a horse, or any other person, at any time or place shall commit an assault, or an assault and battery, upon any jockey who shall ride in a race, or shall threaten to do bodily injury to any such jockey, or shall address to such jockey language outrageously insulting.
- S37. If any owner, trainer, or jockey of a horse shall threaten or join with others in threatening not to race, or not to declare in, because of the entry of a certain horse or horses, or a particular person, thereby compelling or trying to compel the Race Secretary to reject certain eligible entries, it shall be immediately reported to the ITHRF Office and the offending parties may be suspended pending a hearing before a ITHRF Disciplinary Panel.
- **S38.** No owner, trainer or jockey of a horse shall bet, or cause any other person to bet on his behalf, on any other horse in the race in which he has a horse engaged.
- **S39.** Any misconduct on the part of a Licence Holder of the ITHRF fraudulent in its nature or injurious to the sport of Harness Racing, although not specified in these rules, is forbidden. Any person or persons who, individually or in concert with one another, shall fraudulently and corruptly, by any means, affect or attempt to affect the outcome of any race or affect or attempt to affect a false registration, or commit any other act fraudulent in its nature and/or injurious to the sport, shall be guilty of a violation and subject to suspension and/or a fine and/or warning off.

- **S40.** If two or more persons shall combine and confederate together, in any manner, regardless of where the said persons may be located, for the purpose of violating any of the rules of the ITHRF, and shall commit some act in furtherance of the said purpose and plan, it shall constitute a conspiracy and a violation.
- **S41**. Financial Responsibility Any participant who shall demonstrate financial irresponsibility by accumulating unpaid obligations, defaulting in obligations, issuing one or more cheques that are dishonoured, or payment refused, may be denied a licence by the ITHRF or may be suspended and/or fined and/or Warned Off on order by a Disciplinary Panel of the ITHRF.
- **S42.** No owner, trainer, jockey, attendant or other person representing a horse which has previously tested positive for equine infections shall knowingly cause said horse to be declared into any race; and no owner, trainer, jockey, attendant or other person shall seek to bring about the transfer of such a horse without first notifying the prospective purchaser or transferee of the fact that the horse had previously tested positive for equine infections.
- **S43.** Any violation of any of these rules within Section S shall be punishable by a fine, and/or suspension, and/or Warning Off.
- **S44.** Any owner who asks for his/her horse to be upgraded and wins, will not be entitled to return to his/her original grade and will start on zero points in the higher grade. If the horse finishes in any other position he/she will be allowed to return to its original grade but will carry the points gained.
- **S45.** Horse owners entry will be accepted subject to the possibility of ballot. In the event of a horse being balloted, the owner must be contacted by the race framers and informed. If the owner does not run the horse, he will automatically be balloted at the next race entry.

## SECTION T – OBJECTIONS AND APPEALS

- **T1.** Objections may be made by an owner, authorised agent, trainer or jockey of one of the contending horses and shall be made, in writing, to the Chief Steward of the Track, and contain at least one specific charge which, if true, would prevent the horse from winning prize money or competing in the race. A deposit of €250 shall be lodged by the appellant, which will be forfeited if the objection is dismissed. It shall be presented to the race ITHRF secretary no later than 24 hours after the race being ran. An objection may also be made, without deposit, by a Track Steward or licensed official of a meeting in his official capacity. Such objection must be made within five minutes of the race being run.
- **T2.** Every objection shall be determined by at least three Track Stewards.
- **T3.** When an objection has been duly made it shall not be withdrawn or surrendered without approval of the Track Stewards.
- **T4**. Any person found guilty of making an objection falsely or without cause may be liable to a fine and/or suspension.
- T5. If the placing's of a race are altered as the result of an objection, the horse shall be regarded as having been disqualified and the Owner and any other person who shall have been paid any prize money or stakes, or awarded a prize in respect of the running of such horse in the race, shall repay all such money and stakes and return all other prizes so received, to the Racecourse Executive or the donor thereof, as the case may be, and such prize money and stakes and other prizes for the race shall be distributed according to the decision made on the objection.
- **T6.** Pending the Track Stewards' decision any prize money that may be affected by the outcome of the objection shall be withheld.
- **T7.** An objection charging that:
  - [a] a horse which ran was not the horse which it was represented to be at the time of entry.
  - [b] a horse has run in contravention of the Rules of Ownership or Partnership
  - [c] a horse has run in contravention of the Rules of Trainership
  - [d] a horse has run in contravention of the Rules of Handicapping (i.e. off its wrong mark).
  - [e] a horse was run in contravention of the Rules relating to unrecognised meetings
  - [f] a horse was not duly registered at the ITHRF Office.
  - [g] a horse or its rider was in breach of these Rules of Racing must be received not later than one [1] day of the conclusion of the meeting.
- **T8.** In the case of Fraud or wilful mis-statement, there shall be no limit to the time for objecting, providing the Stewards are satisfied that there has been no unnecessary delay on the part of the objector.
- **T9.** All costs and expenses in relation to determination of any objection and/or rehearing shall be paid by such persons and in such proportions as the Stewards shall direct.

# SECTION U - APPEALS TO THE STEWARDS OF THE ITHRF

- **U1.** Appeal An appeal is a request for the ITHRF to appoint a Panel of Appeal to investigate, consider, and review any decisions or rules of the Stewards or officials of a meeting. The appeal may deal with placings, penalties, interpretations of the rule, or any questions arising out of the conduct of a race meeting.
- An owner, trainer, authorised agent or jockey of one of the participating horses in the race may appeal the decision and rules of the Track Stewards. The appeal may be taken upon any question pertaining to the conduct of a race, interpretation of the rules, decisions relative to the outcome of a race, application of penalties, or other actions affecting owners, drivers or horses, but it must be based upon a specific charge, which, if true, would warrant modification or reversal of the decision. Provided that no appeal shall lie against any decision which:

  [a] By these Rules is declared to be conclusive or final, or otherwise not subject to appeal, or [b] Not withstanding [a] an appeal may be lodged against any action deemed to be against a person's rights under the Human Rights Act of 1998.
- U3. [a] Notice of intent to appeal a decision of Track Stewards must be made in writing to the ITHRF within 24 hours of receipt of notice of the decision or ruling.
  [b] Notice of intent to appeal a decision of ITHRF Stewards must be made in writing to the ITHRF within 1 day of receipt of notice of the decision or ruling.
  [c] A deposit of €250 must be lodged with the ITHRF by the appellant, which may be forfeited if the appeal is dismissed.
- **U4.** All appeals must be made in writing on the official form, which may be obtained from the Race Secretary or ITHRF office.
- U5. In case of an appeal, prize money affected by the appeal shall be deposited by the track with the ITHRF, pending disposition of the appeal.
- **U6.** Appeals must be convened within 28 days of receipt of Notice of Appeal by the ITHRF Office. The appellant will be notified of the Appeal Hearing in writing by first class recorded post (and by email where possible), giving seven days clear notice. Failure to attend may result in dismissal of the appeal.
- **U7.** Pending disposition of the appeal, all penalties imposed shall be stayed by order of the ITHRF, depending on where the appeal lies.
- **U8**. The Appeal Panel shall, if the appeal is abandoned or not allowed, assess a penalty of €100 and in the case of an appeal disallowed, the appellant shall be responsible for the payment of costs relating to the appeal.
- U9. In the case of an appeal against rejection or revocation of licences, the appellant must deposit with his/her appeal the sum of €500 in trust with the ITHRF. The deposit amount will be refunded to the appellant in the event no costs are assessed by the Appeal Panel, but may be applied to costs where the Appeal Panel assesses costs against the appellant.
- **U10.** In every case where a penalty is imposed, and such penalty has been appealed to the ITHRF, such appeal, if requested, may stay such suspension until a hearing has been held and the merits of the appeal ruled upon. The stay will begin when the person appealing files in writing with the notice of appeal a request for a stay with the Secretary of the ITHRF, such notice to be filed within 72 hours after the posting of the decision or penalty from which the appeal is

U11.	<b>Costs.</b> The Governing Body may payable by such persons as they see	determine fit.	all	such	costs	and	apportion	such	costs	to	be

taken. Notice shall state the grounds of the appeal.

## SECTION V - FINES, SUSPENSIONS, DISQUALIFICATION

## V1. Fines - Suspension Until Paid

- [a] All persons who shall have been fined under these rules shall be suspended until said fine shall have been paid in full.
- [b] Where fines have remained unpaid for a period of five years they may be dropped from the accounts of the Treasurer of the ITHRF. However, such action will not affect the suspension.
- [c] Unpaid obligations will attract a monthly administration charge of €10 which shall be added to and become part of the original debt.
- [d] All fines which are collected shall be reported to the ITHRF within 28 days and 50% remitted to the ITHRF
- **V2.** Recording and Posting Penalties Written or printed notice of any penalty imposed under these Rules shall be delivered to the person penalised and notice shall be sent immediately to the office of the ITHRF. The Secretary of the ITHRF shall transmit notice of suspension to all Tracks; and thereupon the offender thus punished shall suffer the same penalty and disqualification with each Track.
- **V3.** Commencement of any Suspension shall normally be 7 days from the day of imposition of the suspension when imposed on a licence holder, being a Trainer or Jockey. For all other categories of licence holder such as Owner / Groom the suspension shall be immediate, as shall a suspension imposed on any horse/s. Stewards shall, however, have the authority to impose an immediate suspension should they deem it necessary.
- **V4. Effect of Penalty on Horse** No horse shall have the right to compete while owned or controlled wholly or in part by a suspended or disqualified person. A person making an entry for a horse suspended or disqualified, shall be held liable for the entrance fee thus contracted without the right to compete unless the penalty is removed. A suspended or disqualified person who shall drive, train or own a suspended or disqualified horse, which shall perform in a race shall be fined for each offence.
- **V5. Fraudulent Transfer** The fraudulent transfer of a horse by any person or persons under suspension in order to circumvent said suspension, shall constitute a violation and shall be punishable by a fine, further suspension or a combination thereof.
- **V6. Suspended Person** Any Track wilfully allowing a suspended or disqualified person to drive in a race, or a suspended or disqualified horse to start in a race after notice from the ITHRF Office, shall be together with its officers, subject to a fine for each offence, and/or suspension.
- V7. A suspended or disqualified person cannot act as an officer of a track. A track shall not, after receiving notice of such penalty, employ or retain in its employ a suspended or disqualified person at or on the track during the progress of a race meeting. Any Track found violating this rule shall be fined.
- **V8**. A person warned off or disqualified by the Stewards of the Irish Trotting and Harness Racing Federation may not attend any recognised meeting under the Rules of Racing of the ITHRF, or enter the stables or premises of any racecourse licensed by the Stewards of the ITHRF.

## V9. Dishonoured Cheques:

- [a] Any licence holder of the ITHRF who pays an entry, a fine or other claim to the ITHRF, or an entry or fine to any Track affiliated to the ITHRF, by cheque, which upon presentation is protested, payment refused or otherwise dishonoured, shall be subject to a fine, and the winnings of the horse or horses of that particular race, declared illegal and said persons and horses suspended until the dishonoured amount and fine are paid and the illegal winnings returned.
- [b] Any Track Affiliated to the ITHRF, which pays prize money to a Licence Holder of the ITHRF by cheque, which upon presentation is protested, payment refused or otherwise dishonoured, shall be referred to the Stewards of the ITHRF.
- **V10.** Penalties imposed by Affiliated Tracks All penalties imposed by ITHRF Affiliated Tracks shall be recognised and enforced by the ITHRF upon notice from the Track to the Secretary of the ITHRF [Subject to Appeals under Rule U1].
- **V11. Reciprocity of Penalties** All persons and horses under suspension or disqualification by a recognised Trotting Association of a foreign country shall, upon notice from Association to the Secretary of the ITHRF, be suspended or disqualified by the ITHRF.
- **V12. Modification of Penalty** Any suspension imposed by Stewards can be removed or modified by a ITHRF Disciplinary Panel upon consultation with the Stewards and Track on whose grounds the penalty was imposed.
- V13. [a] Any licensed person who fails to appear before either the ITHRF Stewards or, in the case of participants, before the Track Stewards of any Race-meeting, when called upon to do so, will incur an automatic suspension in all capacities [with immediate effect] until such time as they appear before the Stewards concerned.
  - [b] Where a participant fails to appear before the Track Stewards the Race Secretary must notify the ITHRF Office within 24 hours.
- V14. Any person aiding, abetting or initiating the non compliance by another person of a penalty given by the ITHRF or any body of Stewards, shall be referred to the ITHRF and shall have any licences they may hold suspended until decided otherwise by the ITHRF.

## PART SIX - LICENCES

## SECTION W - OWNER'S, TRAINERS AND DRIVER'S LICENCES

## **OWNERS**

- **W1.** Owners or Lessee's, are required to obtain a licence, valid for the current year, from the Irish Trotting and Harness Racing Federation before any entry of any horse on to any track.
- **W2**. Application for an Owner's licence must be on the correct form with all necessary declarations completed and signed.
- **W3.** The minimum age for holding an Owner's licence is eighteen years.
- **W4.** In the event of an Owner being the subject of an enquiry by the ITHRF Stewards or the Stewards of a Meeting, his horses are ineligible for transfer and re-registration pending the result of the enquiry, which must be held within twenty-one days of his receiving notice of the enquiry.
- W5. When an Owner is suspended, he / she shall not be entitled to transfer his / her horses as from the date on which the penalty was imposed, and until the suspension is terminated.
- **W6.** If any registered Owner shall become bankrupt or have a bankruptcy order made against him, his Licence shall immediately become void.
- W7. Except as in W11, below, no owner shall make use of an assumed name for the purpose of entering or running horses, and any horse entered under an assumed name is liable, on objection, to be disqualified.
- **W8**. In the event of the death of a registered owner his personal representative may continue to run any horses currently registered in his name under the description "Executor of ...(deceased)" provided that notification is given to the ITHRF.
- **W9.** Any person running a horse under a false identity will be declared a disqualified person and warned off by the Stewards of the ITHRF

## JOINT OWNERSHIP, RACING, FARM, CORPORATE, SYNDICATE OR STABLE NAME

- **W10.** Racing, farm, corporate, syndicate or stable names, hereinafter referred to as "registered stables', may be used by owners or lessees provided the names are first registered with the ITHRF and STAGBI. No registration will be granted to a name that is the same as or similar to one already registered with the ITHRF or STAGBI. The Secretary may reject an application for a name considered to be confusing, unbecoming to the sport, or which exceeds 18 letters, including spaces.
- **W11.** Stable names may be registered either as a prefix or suffix on payment of the appropriate fee.

- W12. Applications for registered stables shall include the names and addresses of each member thereof. All members of a registered stable, partnership or syndicate must have a current ITHRF owner's licence. Each member of a registered stable must sign a document designating the first named member who shall be the person to whom all correspondence will be sent. In the case of transfer documents, all members must sign. Other documents bearing the signature of the first named member will be considered binding upon the members of the registered stable.
- **W13.** The Secretary shall be notified forthwith if additional persons become members of a registered stable or when a member thereof becomes disassociated. Consent in writing must be given by any member being disassociated from a registered stable.
- **W14.** Any liability of a registered stable and any penalty imposed upon the stable shall apply to all of its members and/or horses owned wholly or in part by the stable. In the event one or more of the members of a registered stable is suspended, the suspension shall also include any horses owned wholly or in part by the stable.
- **W15.** Corporate sponsor's prefixes/suffixes may be added to a horse's names for racing purposes on payment of a fee. The corporate prefix/suffix to be removed when the association with corporate sponsor ceases. Race cards and Start Sheets should also show the horse's originally registered name.

## **JOCKEY'S LICENCES**

- **W16.** [a] No person shall ride a horse in any race without having first obtained a jockey's licence, valid for the current year, from the ITHRF. No jockey, holding a licence from the ITHRF, shall participate or ride at a race meeting, which is not affiliated to the ITHRF. Any person violating this rule shall be fined and/or suspended and no licence shall be issued to such person thereafter until all fines have been paid, and any suspension lifted.
  - [b] Jockey's must have their licence available at all times while participating and produce same on the request of the Stewards, the Race Secretary or any official acting under their orders.

## Provisional Licences;

- **W17**. [a] Anyone wishing to obtain a ITHRF Jockey's Licence should apply to the ITHRF Secretary, for an application form for a Provisional Jockey's Licence. The applicant should then arrange with Racetrack officials to demonstrate their riding ability. If competent, a racetrack Steward and a ITHRF/Regional Steward will sign their application form, which must then be returned to the ITHRF Secretary, together with the appropriate fee and two passport type photographs.
  - [b] This Licence will qualify the holder to take part in official qualifying races and also to work out horses prior to qualifying drives.
  - [c] Provisional Jockey's may only work out the horse they are recorded to ride in a qualifying race at that meeting and during work out time must wear high visual jackets (or equivalent) over the top of their jockey's colours.
- **W18.** A provisional jockey may not take part in any race under betting

  The provisional jockey must wear some clearly visible armband, colours or other distinguishing item as directed by the Racetrack Management.
- **W19.** Jockey's Tests for persons who have not previously held a ITHRF licence to ride will be conducted only at tracks approved by the ITHRF for this purpose, where start car facilities are available.

- **W20.** After riding in a minimum of three qualifying races, the holder of a Provisional Jockey's Licence will be eligible to take a jockey's riding test. Before and after each ride in qualifiers the provisional licence holder must report to the appointed Track or Regional Steward who will sign and complete details on the licence card. If rides are taken on an Open horse, Open Qualifying Time must be achieved.
- **W21.** Jockey Tests can be taken at either Hard or Grass Tracks with a mobile gate start. Anyone wishing to take a test must apply to the racetrack concerned giving seven days notice.
- W22. The Test will be conducted as follows:

  The applicant will take place in three qualifying/apprentice trials. After the trial the opinions of any of the stewards may be considered in terms of the applicants capability to ride. If the candidate is successful, the Track Steward and ITHRF/Regional Steward will proceed to issue him/her with a jockey's license.
- **W23.** If the candidate is successful in receiving a jockey's licence, the ITHRF will be notified immediately. The jockey will notify the ITHRF of his/her racing colours as well as the appropriate fee.
- **W24.** Any infringement of the rules by a provisional jockey may result in a fine or other action, at the discretion of the Track Stewards and will be recorded on his / her licence card.
- **W25. Minimum age** The minimum age for jockey licences shall be 18 years on the road and 12 years in the field. The ITHRF may ask for an original copy of a birth certificate as proof of age.
- **W26.** Any holder of a jockey's licence who asks, or receives any, remuneration or reward [other than a Trophy] for riding or driving in any race, and any person who offers or gives any such remuneration or reward, may be fined by the Track Stewards and the horse, ridden or driven by such rider, may be disqualified from the race, and the rider will forfeit amateur status forthwith.
- W27. Lapsed licences If a jockey has held a licence under ITHRF rules within five [5] years of application, such licence may be re-issued. Should an application come from a person that has let his or her license lapse for over five [5] years then such a person will be issued a provisional licence. Should a jockey applying for a licence have ridden under the jurisdiction of another body in the intervening time the licence issued shall be at the discretion of the ITHRF. All jockeys applying for the reissue of lapsed licences will be required to ride in one or more qualifying race[s]/trial[s] to the satisfaction of the Stewards in order to prove their fitness to hold a licence before it will be issued.

## TRAINERS LICENCES

- **W28**. No person shall train horses, or be programmed as trainer of record, without first having obtained the appropriate Trainer's Licence from the ITHRF. Violations of this rule shall incur a fine and/or suspension or disqualification by the Stewards and all horses listed under the name of such trainer shall be suspended and referred to ITHRF.
- **W29.** Trainer's licences will only be issued to named persons and not to racing, farm, corporate, stable names or trading names.

- **W30.** A person shall be granted a Trainer's Licence provided:-
  - [a] The applicant is over 18 years of age at the time of issue.
  - [b] He has previously held such a licence during the past five [5] years, or
  - [c] He satisfies the Stewards as to his fitness to train and properly gear a horse for a race, or
  - [d] He holds or has held, and by production of such licence or otherwise proves that he holds or has held [and is not disqualified from holding], a Trainer's Licence from any recognised Harness Racing Authority and satisfies the Stewards as to his fitness to train and properly gear a horse for a race.
  - [e] That all the stables in which horses racing on licensed racecourses are kept, are situated, constructed and maintained, to the satisfaction of the Stewards.
- **W31.** Trainers Licences will be issued in the following categories:

**Permit to Train** - The holder of a Permit to Train would only be qualified to train horses which are the sole property of him/herself, his/her spouse or partner resident at the same address, parents, sons or daughters.

**Licence to Train** - This category is for persons training their own horses and no more than two belonging to persons outside their immediate family [as defined above]. It is confined to training from their registered premises and any change of premises must be notified. A person training as an employee for one named owner may also be eligible for this category of licence.

**Public Trainer's Licence.** A Public Trainer's Licence entitles the holder to train any Standardbred horse for racing under these rules.

- **W32.** Any person wishing to take out a Public Trainer's Licence will be required to provide details of their premises and facilities, together with names of referees.
- **W33.** A Public Trainer will be required to satisfy the Stewards that he owns, leases or otherwise has available for use stables which are, in the opinion of the Stewards, suitable for the training of Standardbred horses, and these premises will be subject to inspection by a ITHRF official.
- **W34.** A Public Trainer's Licence will be specific to registered premises and does not permit a trainer to train at or from any other stables [except when attending away race meetings]. A change of premises may incur an inspection fee
- **W35.** Grooms are required to obtain a licence from the Stewards of the ITHRF before they are allowed into the stable area on any racecourse. Holders of such licences must be more than 12 years of age at the time of issue.

## Responsibilities of Trainers

- **W36.** The Trainer shall always be responsible for the feeding, management, protection and security of the horses in his care taking all reasonable precautions to avoid their exposure to prohibited substances contrary to the rules of the Governing Body.
- **W37.** The Trainer is responsible for seeing that all harness and equipment used on horses in his charge is of good quality and maintained in sound and serviceable condition. Failure to do so will result in a fine and/or suspension.
- W38. All Trainers must notify the ITHRF Office in writing of the horses in their custody and control before the horse/s are granted a current racing certificate. Should the trainer of any horse change, notice shall be sent in writing to the ITHRF Office within 48 hours that a horse leaves, or arrives in the custody of a trainer. Failure to comply will incur a penalty.

- **W39.** It is the responsibility of the Trainer to:
  - [a] ensure horses are in the paddock at the specified time.
  - [b] ensure horses go out on to the track when called upon to do so.
- **W40.** [a] A trainer shall be responsible at all times for the condition of all horses trained by him. No trainer shall start a horse or permit a horse in his custody to be started if he knows, or if by the exercise of reasonable care he might have known or have cause to believe, that the horse was not in fit condition to race, or has received any substance that could result in a positive test for a prohibited substance.
  - [b] A trainer shall be responsible for horse's 'flu vaccination regime.
- **W41.** When a trainer becomes aware that a horse in his custody is not physically fit to race, it is his responsibility to have the horse checked by a veterinarian and have that veterinarian certify that the horse is unfit to race.
- **W42.** Every trainer must guard or cause to be guarded, each horse trained by him in such a manner and for such period of time prior to racing the horse, so as to prevent any person from administering any substance resulting in a positive test for a prohibited substance.
- **W43.** Every trainer must also protect the horse and guard it against wrongful interference or substitution by anyone in connection with the taking of a sample.
- **W44.** Failure by a trainer to protect a horse and guard it against wrongful interference or substitution in connection with the taking of a sample will result, in the absence of evidence to the contrary, in said trainer being held responsible for the wrongful interference or substitution.
- **W45**. Public Trainers are required to make a monthly return of horses in their care or training. Returns are to be sent to the ITHRF office by the 7<sup>th</sup> day of each month, after which a fine of €10 per day may be imposed. If the return is not received by the 14<sup>th</sup> day of the month,the Trainer's licence will be suspended.
- **W46.** It shall be the responsibility of the trainer to see that each horse under his supervision is safely equipped for each race and, if it is determined by the Stewards that a horse has been raced with unsafe or faulty equipment, the Stewards may impose a fine, and/or suspension.
- **W47.** It shall be the responsibility of the trainer to seek the permission of the Track Stewards to alter the equipment on a horse during a race meeting. Failure to obtain such permission could result in disqualification of the horse and a fine.
- **W48.** It is the Trainer's responsibility to ensure that no horse in his charge is left unattended at any time whilst on a racetrack during or prior to a race meeting until all its engagements for that day have been completed.
- **W49**. The trainer is responsible for ensuring that every horse wears a proper numbered saddle cloth on a horse when warming up.
- **W50.** Any person holding a Trainer's licence shall immediately notify the Secretary of the ITHRF of any infectious or contagious disease in his stables. Failure may result in closure of premises.
- **W51.** The trainer is responsible to ensure that at each and every grass track meeting, all horses racing, qualifying and / or working out must wear grass nails.

**W52.** A trainer who trains and races a horse knowing said horse to be owned wholly or in part by a person or persons barred or otherwise disqualified from participating in racing, shall be referred to the ITHRF for disciplinary action.

## **GENERAL**

- **W53.** Any person who participates in a race at a meeting held under ITHRF rules, without the necessary and appropriate licence, shall not be granted a ITHRF licence of any discipline for a period of 12 months, from the date of the participation.
- **W54.** Any person who collaborates in the participating in a race held at a meeting under ITHRF rules, of a person not holding the appropriate ITHRF licence, shall have his licence immediately suspended and be referred to the ITHRF. It is the responsibility of the person collaborating to ensure that a person participating is fully licensed for his participation.

#### PART SEVEN – PERMITTED RACES

## SECTION X – TYPES OF RACE PERMITTED

- **X1.** [i] In presenting a programme of racing the Race Secretary shall use exclusively the following types of races:
  - [a] Novice
  - [b] Grade E
  - [c] Grade D
  - [d] Grade C
  - [e] Grade B
  - [f] Grade A
  - [g] And such other races as may be permitted from time to time by the ITHRF.
  - [h] Trotters and Pacers are permitted to race together
- **X2.** The distances for each grade will be as follows:
  - [a] Novice & Grade E; 1 Mile
  - [b] Grade C & D; 1 ½ Miles
  - [c] Grade A & B; 2 Miles

## **CLASSIC RACES**

- **X3.** For the purpose of this rule, Classic Races shall include the All Ireland Road Race and the All Ireland Saddle Race and such other events as may be designated as Classic Races by the Stewards.
- **X4.** All Classic Races will be run as free for alls, under the Rules and conditions laid down and published on the ITHRF website, <u>www.irishtrotting.ie</u>.
- **X5.** All Classic races will be administered by the ITHRF.
- **X6.** Classic Races can be held on approved tracks.
- **X7.** Any suitable tracks wishing to run any Classic Races may be asked to submit sealed tenders by a specified date.
- **X8.** Eligibility to Race in Classic Races To be eligible to race in any Classic Race a horse must have qualified by time applicable to a particular track and have competed in and completed two races under betting at least five [5] days prior to the event in question.
- **X9. Restrictions on Condition** Any conditions contrary to the provisions of any rule of the ITHRF are prohibited.

## **Conditions Required**

- **X10.** Conditions for Classic Races must specify:
  - [a] Which horses are eligible to be nominated.
  - [b] The amount added to the purse by the Track.
  - [c] The dates and amounts of nomination, sustaining, and starting payments.
  - [d] Whether the event will be raced in divisions.

**X11. Guarantee to Conduct Event** - The Track will guarantee to the ITHRF that said Classic Race will be raced as advertised in said conditions, unless unanimous consent is obtained from owners of eligible horses to transfer or change the date thereof, or unless prevented by an act of God or conditions beyond the control of the Track. After inspection of the track no change of venue is permitted unless authorised by the ITHRF.

## List of Nominations:

- **Minimum Declarations Required to Race** Classic races must be contested if one or more horses are declared to start. In the event only one horse, or only horses in the same interest start, it constitutes a walk over. In the event no declarations are made, the total of nomination and sustaining payments shall be divided equally to the horses remaining eligible after payment of the last sustaining payments, but such distribution shall not be credited as purse winnings.
- **X13.** Number of Starters Requiring Race to be Split Unless lesser numbers are specified in the conditions of the race, in the event more horses are declared to start than allowed in one field, the race will be conducted in heats as governed by the provisions of the following sections.
- **X14.** Except in the case of the Sire Stakes where this provision will not apply, if Starters are split into heats, horses in the same ownership shall be seeded in separate heats where possible. In Sire Stakes Races and in all other circumstances all other starters will be divided by lot.
- **X15. Number to Qualify for the Final** Unless the conditions provide otherwise, the number of horses allowed to qualify for the final shall not exceed the maximum number permitted by the Track, and will be published in the race card.

## **X16.** Post Positions for a Final

- [a] The Post Position of each starter in the Final will be decided by ballot to be drawn by the Track Stewards, such ballots shall take place in public.
- [b] Any official violating any provisions of this rule may be fined, suspended or disqualified by the ITHRF, unless otherwise provided.

# PART EIGHT - MEDICATION AND DRUG CONTROL SECTION Y

## **Objective**

**Y1.** With the objective of protecting the integrity of road and field trotting racing, through controlling the use of substances capable of giving a horse an advantage or of causing it to be disadvantaged in a race, contrary to the horse's inherent merits the Stewards or the Track Stewards are empowered to order a dope test on any horse competing.

#### **Definition**

- **Y2.** For the purpose of these rules, any reference to a sample testing positive for a Prohibited Substance is where a Certificate of Analysis for the sample reports:
  - [a] in the case of a substance for which a threshold level is specified the concentration of the substance present in the sample is at, or in excess of, the concentration specified within these rules
  - [b] otherwise, that a Prohibited Substance has been identified in the sample

## **Sampling**

- Y3. To establish whether a prohibited substance is present and /or to confirm the identity of a horse, samples may be taken at any time from any horses at any location, that have run, or are scheduled to run in any race under ITHRF Rules, are in training, at rest, have paid a Visitors Fee, and/or have been registered as a Standardbred or Trotting horse by any accepted registering body. A sample in relation to a horse means a quantity of any body fluid, tissue, excreta, hair or skin scrapings or of items in contact with any part of the horse taken at the discretion of the person conducting the examination and may involve the removal of implants. The following will be deemed to be infringement of these rules:
  - [a] Any refusal and/or lack of co-operation by a licence holder to facilitate the testing of a horse chosen to be tested by ITHRF Officials, at any time, at any location.
  - [b] Any removal or attempt to remove a horse chosen by ITHRF Officials to be tested, from the secure chain of custody.
  - [c] If a horse chosen to be tested by ITHRF Officials is substituted by another horse.
  - [d] If a licence holder does not attend a hearing under the provision of Section Y, and there are no mitigating circumstances accepted as such by the ITHRF, that licence holder will become suspended until such a hearing is held.
  - [e] If a drug listed as Class 1 to 4 has not been administered by a Veterinary Surgeon and is not recorded as such in the horses passport
- Y4. Samples may be collected by a Regional Steward, Deputy Regional Steward, ITHRF Steward or ITHRF Integrity Officer providing that within the last three years that person has been trained to take samples at an approved Stewards Seminar or Training Course. Blood samples must be collected by a Veterinary Surgeon.
- **Y5.** The Trainer may be present to witness the collection of a sample, either in person or by a representative qualified to enter the sampling area. If a Trainer or his representative chooses not to witness the collection of a sample, he must sign a declaration before the sample is taken in which he agrees that the integrity of the sampling procedure will not be questioned at a later date.

- **Y6.** [a] In the event that a sample tests positive for a Prohibited Substance the Governing Body may direct that the horse be further examined by a person appointed by the Governing Body. In the event that the Governing Body does not make such a request, the owner or trainer of the horse may request it.
  - [b] In the event that a sample has been stored at the approved laboratory, the Governing Body reserves the right to carry out retrospective analysis on that sample if and whenever they see fit. Should at this later date, a sample test positive for a Prohibited Substance the Governing Body is entitled to take sanctions out in accordance with these rules.
- Y7. A sample collected under a secure chain of custody shall be split into A sample and B sample. If the A sample is reported to contain a Prohibited Substance or substances, a further test on that sample can be called for by the Governing Body producing a full spectrum analysis. The B sample will be securely stored and be available for further tests and may be analysed for those substances at the trainer's or owner's request and expense. A request for the B sample to be analysed must:
  - [a] be made within fourteen days of the Governing Body sending notice of the report to the trainer or owner.
  - [b] state whether such analysis is to be carried out at the same laboratory (HFL) or another approved laboratory (Laboratoire Des Courses Hippiques France (LCH) or Deutsche Sportochschule Koln Institut for Biochemie Germany (DSKIB)).
- **Y8.** In the event of the Governing Body finding a prohibited substance in a sample taken from a horse which is entered or has run in a race within its jurisdiction but which is trained abroad, that Governing Body where the horse is trained is to be informed, and shall provide assistance when requested.
- Y9. If a horse at a racecourse (whether racing or not) dies, its body shall be offered to a ITHRF Steward or Regional Steward, immediately for Post Mortem examination. If the horse tests positive for a banned substance the owner/trainer will bear the costs of disposal and testing. Failure to comply with this rule will incur a penalty.

## **Sanctions**

- Y10. A horse shall be disqualified and suspended whenever a sample taken, either after or before it has raced, contains a prohibited substance. The trainer and/or owner of the horse if appropriate shall be penalised following a hearing in accordance with the rules and regulations of the Governing Body. The horse will remain suspended until a negative test is achieved after any suspension is exhausted. Once a horse has been tested, any prize money due will be held by the Promoter until the result of any test is known.
- **Y11.** Where a sample taken from a horse at any other time contains a prohibited substance, the Governing Body may, according to their own rules, impose sanctions on the horse, trainer, owner or other persons.
- Y12. The costs incurred subsequent upon the finding of a positive sample shall be born by the Owner / Trainer of the horse concerned unless the Stewards of the ITHRF should direct otherwise.

## **Responsibilities of the Trainer**

- **Y13**. The trainer shall always be responsible for:
  - [a] The feeding, management, protection and security of the horses in his care taking all reasonable precautions with the horses in his care to avoid their exposure to prohibited substances contrary to the rules of the Governing Body.
  - [b] Presentation of horses in his care, to ITHRF Officials, if and when chosen to be tested.

## Race day regulations regarding medication

- **Y14.** With the exception of veterinarians authorised by the Stewards or the Governing Body, no person shall bring into the racecourse on a race day either a prohibited substance or any means of administering such a substance.
- **Y15.** After a horse has raced and before it is discharged from any requirements for post race sampling, no treatment with prohibited substances shall be allowed without official permission.
- **Y16.** All winnings of such horse in a race in which an offence was detected under any section of this rule shall be forfeited and paid over to the ITHRF for re-distribution among the remaining horses in the race entitled to same. The Stewards shall notify the ITHRF in writing of the redistribution of winnings, giving the following information:
  - [a] The name and registration number of the horse charged with the positive test.
  - [b] Names of horses and registration numbers of all horses affected by the re-distribution of purse.
  - [c] The amount of money to be added or subtracted from each horse's earnings.
- Y17. The penalty for violation of any rules within this section, unless otherwise provided shall be a fine and/or suspension and/or loss of licenses and/or warning off. The sanctions shall commence following the determination of a hearing/s by Officials of the ITHRF and such hearing/s to be initiated within 10 days of the owner and or trainer of the subject horse being notified in writing by the ITHRF Office of an infringement. The penalties applicable are set out in Appendix X Section Y. Any veterinarian practicing veterinary medicine on a race track where a race meeting is in progress using a needle or syringe shall use only one-time disposable type needles or syringe and a disposable needle shall not be re-used.

## Part A - Prohibited Substances

**Y18. Prohibited substances:** means a substance originating externally whether or not it is endogenous to the horse, which falls in any of the categories contained in the List of Prohibited Substances published from time to time in the Rule Book and/or The Calendar. "Substance" includes the metabolites of the substance and the isomers of the substance and metabolites.

Prohibited substances can include substances capable at any time of acting on one or more of the following.

Substances acting on the nervous system

# Substances acting on the cardiovascular system

Substances acting on the respiratory system

Substances acting on the digestive system

Substances acting on the urinary system

Substances acting on the reproductive system

Substances acting on the musculo-skeletal system

Substances acting on the blood system

Substances acting on the immune system other than those in licensed vaccines

Substances acting on the endocrine system; endocrine secretions and their synthetic counterparts

For the purposes of clarity these include:

Anti-pyretics. analgesics and anti-inflammatory substances

Cytotoxic substances

Anthistamines

**Diuretics** 

Local anaesthetics

Muscle relaxants

Respiratory stimulants

Sex hormones, anabolic agents and corticosteroids

Substances affecting blood coagulation

**Y19.** Any sample collected from a mare or filly that tests positive for Regumate, will not be actionable so long as that drug has been prescribed by a Veterinary Surgeon and is recorded in the horses passport, notified to the ITHRF and recorded on the racecard with '(R)'.

## Masking agents

**Y20.** A finding of a prohibited substance means a finding of the substance itself or a metabolite of the substance or an isomer of the substance or an isomer of a metabolite. The finding of any scientific indicator of administration or other exposure to a prohibited substance is also equivalent to the finding of the substance.

## Part B - Thresholds

- **Y21.** Thresholds can only be adopted for
  - [a] Substances endogenous to the horse
  - [b] Substances arising from plants traditionally grazed or harvested as equine feed
  - [c] The Governing Body shall determine such thresholds from time to time
- **Y22.** Substances below the following thresholds are not actionable

**Substance name** Threshold

Arsenic 0.3 microgram total arsenic per millilitre in urine

Carbon dioxide 36 millimoles available carbon dioxide per litre in plasma

Dimethyl sulfoxyde 15 micrograms dimethyl sulfoxyde per millilitre in urine

or 1 microgram dimethylsulfoxyde per millilitre in plasma

Hydrocortisone 1 microgram hydrocortisone per millilitre in urine

Nandrolone free and conjugated  $5^{\alpha}$  - strange - 3 $\beta$ ,  $17^{\alpha}$  - diol to free

and conjugated 5 [10] - estrene -  $3\beta$ ,  $17^{\alpha}$  - diol in urine at a ratio of 1

Salicylic acid 750 micrograms salicylic acid per millilitre in urine or

6,5 micrograms salicylic acid per millilitre in plasma

Testosterone 0,02 microgram free and conjugated testosterone per millilitre in urine from

geldings or free and conjugated testosterone to free and conjugated

epitestosterone in urine from fillies and mares at a ratio of 1B

Theobromine 2 micrograms theobromine per millilitre in urine

## Y23. TCO2 Sampling

[a] Samples taken may be analysed by the ITHRF or a qualified Veterinary Surgeon using an approved blood gas analyser or may be forwarded to HFL or another recognised testing laboratory for analysis.

[b] In the event that a blood gas analyser records a reading of 36 millimoles per litre in plasma or above, the horse tested will not be permitted to compete in any ensuing races until any or all hearings are concluded.

[c] In the event that a blood gas analyser records a reading of 36 millimoles per litre in plasma or above, the owner/trainer may request a second analysis and/or confirmatory analysis by a recognised testing laboratory such as HFL, such analysis to be initiated within 24 hours of the initial test carried out. If the second test shows a reading of 36 millimoles per litre in plasma or above, the costs of that analysis will be borne by the owner/trainer.

## **Y24.** TCO2 Sanctions

In the event that a sample records a reading of 36 millimoles per litre in plasma or above, sanctions will be applied as follows:

First Offence:

Fine €2,000, plus 24 month suspension

Second Offence:

Fine €4,000, plus 48 month suspension

- **Y25.** For any finding of a prohibited substance of endogenous nature, the Governing Body may decide either itself or at the owner's or trainer's request to examine the horse further.
- **Y26.** With the objective of preventing infringements, the Governing Body may at their discretion Make available detection times. Give forewarning of new or modified tests

## APPENDIX I

## ITHRF LICENCE AND RACE FEES

Licence Fees - The fees for licence cards are exclusive of the annual membership fee. All licences are valid between the 1<sup>st</sup> January and the 31<sup>st</sup> December and are renewable annually. This is intended for information only, such fees may be amended from time to time.

Owner	€25.00
Jockey	€16.50
Trainer Permit	€11.00
Licence to Train	€33.00
Public Trainer	€66.00
Groom's Licence	€5.00 only
Annual Colours Registration	€2.00 only
Registration of Change of Ownership	€10.00
Registration of Lease	€10.00
Registration of Partnership if not registered with the horse	€10.00
Stable prefix/suffix	€25.00
Corporate prefix/suffix	€100.00
Race Fee - renewable annually:	€25.00
Annual Visitor Fee for horses entering the Country to Race	€30.00

## **APPENDIX II**

## **EQUINE FLU VACCINATION REGIME**

1<sup>st</sup> Primary Vaccination must be given

followed by 2<sup>nd</sup> Primary Vaccination between 21 and 92 days after the 1<sup>st</sup> Primary Vaccination

followed by 3<sup>rd</sup> Primary Vaccination between **150 and 215** days after the 2<sup>nd</sup> Primary Vaccination

Subsequent Booster Vaccinations yearly from 3<sup>rd</sup> Vaccination [within **365 days**]

**REMEMBER** The first two vaccinations must be given BEFORE a horse can be taken to a racetrack.

**REMEMBER** Vaccinations must be given at least 7 days before entering any racecourse premises.

**REMEMBER**. To COMPLETE the programme by having the third vaccination - the time for this could well be in the Autumn after the horse has been turned out for the winter.

**REMEMBER** The current Owner/Trainer of the horse is responsible for making the FLU VAC RECORD correct NOT the ITHRF.

# **APPENDIX III**

# STAGBI HORSE REGISTRATION FEES

DEFRA regulations state that **every** horse must have a passport:

- a) by 31<sup>st</sup> December of the year of its birth: or
- b) by six months after its birth, whichever is the longer; or
- c) if, before then, it leaves the premises on which its dam is normally kept for a continuous period of two weeks or more.

# APPENDIX IV

The following table is the present Irish Harness Racing Club's Handicapping ITHRF use in order to give horses their handicap for the forthcoming season.	g System	in which	the

## APPENDIX V

## ITHRF DOPE TESTING REGULATIONS

The Stewards of the Meeting may select any horse/s entered in any race/s to submit a sample for analysis

## THE FOLLOWING PROCEDURES MUST BE FOLLOWED

Once selected the horse/s will be escorted by a Steward, to the appointed place for testing, where they will examined by the Veterinary Officer.

The handler shall provide the horse's Passport for identifying the horse.

The horse must be identified as the horse named in the Passport and on the Race Card to the satisfaction of the Steward and the Veterinary Officer

The Veterinary Officer or the Steward may scan the horse for a microchip; the result of this shall be entered on the ITHRF Dope Testing Form

After being washed down, the horse/s will be held at the testing area until a urine sample can be provided. In the event of the horse/s being unable to provide a urine sample, the Vet and the Steward may agree to take a sample of either blood, hair, saliva or other such sample as they think fit

The appointed Veterinary Officer shall obtain the sample as per the instructions supplied by the ITHRF, assisted as necessary by the Steward.

Upon completion of the taking of the sample the Veterinary Officer shall compete and endorse with his signature all paperwork and attach all labels, bar codes and seals as per the instructions supplied by the ITHRF.

ITHRF Dope Testing Form, HFL Forms and the Horses Passport

The handler shall endorse and sign that he or she is satisfied with the procedures and acknowledges receipt of the bar code relating to the samples taken on the ITHRF Dope Testing Form.

The Steward shall ensure that all documentation is correct

He or she shall then sign the paperwork to that effect (ITHRF Dope Test Form)

The sample will remain in the custody of the ITHRF who will be responsible for ensuring that the sample is safely packaged for transfer to the laboratory as soon as is practicable by whatever means shall be determined from time to time.

The ITHRF Dope Test Form, will be retained by the Steward, a copy will be forwarded to the ITHRF Office as soon as is practical by whatever means shall be determined from time to time.

The horses passport should be signed accordingly by the Veterinary Officer to record that a sample has been taken.

#### **Definitions:**

Steward: shall mean a Licensed Regional Steward, Deputy Regional Steward, ITHRF Steward or Integrity Office of the Irish Trotting and Harness Racing Federation

Veterinary Officer: shall mean the Veterinary Surgeon attending the race meeting as an official.

HFL: shall mean The Horse Racing Forensic Laboratory or any other Laboratory as may be determined from time to time.

# ITHRF DOPE TESTING FORM

custody.

The signatories to this form agree, that all the proceed laid down by the governing body.	dures have been carried out in accordance with the guidelines
DATE and TIME:	
PLACE or RACE MEETING:	
NAME of HORSE:	
SEX of HORSE:	
HORSE IDENTIFIED by	MICROCHIP No
NAME of VETERINARY OFFICER:	
NAME of STEWARD:	
NAME of HANDLER:	
Please fill in the above in BLOCK LETTE	ERS
The signatories to this form agree, that all the proceed laid down by the governing body.	dures have been carried out in accordance with the guidelines
Signature of Veterinary Officer:	Time and Date:
Signature of Handler:	
Signature of ITHRF Steward:	
The Steward must ensure that all the gu	idelines have been followed and upon completion of this

form shall fax a copy to the ITHRF Office as soon as is practical, keeping the original in his

## APPENDIX IX

#### PROCEDURES TO BE FOLLOWED AT TRACK STEWARD'S ENQUIRIES

## The Track Stewards must first of all fully assess the incident or complaint.

If the matter to be dealt with is by way of a complaint from an Owner, Trainer or Jockey it must be put in writing by the complainant along with the appropriate fee.

If the matter is one that the Track Steward/s, or a ITHRF Steward/s, has brought to their attention then they must ensure that they have cause to investigate the matter and what rules apply to the incident.

On convening a Steward's Enquiry the Chief Steward shall act as chairperson with the Regional Steward in attendance. As the Regional Steward may take no part in decision-making, a further two Track Stewards shall be appointed as the Track Steward's Enquiry Panel.

The Regional Steward shall be responsible for informing all parties as to their rights during to enquiry and to advise both the panel and persons appearing before the panel.

A person shall be responsible for keeping a record of all matters. This may be done by the making of contemporaneous note or, if available, the enquiry shall be recorded on a sound recording device (written notes shall be sent to the ITHRF Office within 48 hrs)

Any persons suspected of a breach of the ITHRF rules must hand their ITHRF licence to the Chief Steward at the outset of the enquiry.

From the outset of the enquiry all parties shall be present at all times during which any complaints or alleged breaches of the rules shall be made common to all persons involved. The reason for this is so that no allegations shall be made against a person that is not present to hear such allegations, he /she or they, must be present in order that they may defend themselves with regard to any such allegations.

Any individual shall be entitled to have present a person to act as an independent witness to any statements made.

Any persons suspected of a breach of the ITHRF rules must be informed of the rule applicable and the nature of the offence for which they may be questioned. [A copy of the ITHRF rulebook shall be available to all parties during an enquiry].

Having heard from all parties the Steward's area or room shall be cleared of all person excepting the panel and the Regional Steward, who shall remain to advise as to the rules and penalties that may be applied.

The panel shall consider the statements and any evidence submitted and must make a decision as to any persons as to whether they be guilty of a breach of the ITHRF rules or not [this must be a clear decision either guilty or not guilty]. The decision made can be that of all of the panel or if they cannot agree, then the decision of the majority shall be the decision made.

On reaching a decision then the persons concerned shall be called back to hear the decision of the track stewards, should any person be found guilty of breaking the ITHRF rules then the chairperson shall inform them of the penalty that may be imposed. Any penalty must be marked on the ITHRF licence before handing back to the person concerned.

The panel will then be asked to consider what penalties shall be imposed in accordance with the penalties laid down in Appendix X of the ITHRF rulebook

The chairperson should then inform the parties of any penalties applied, also he or she should inform the parties of their rights as to any appeal they may or not be entitled to make and how to proceed should they wish to.

A short report on the ITHRF enquiry form should be forwarded to the ITHRF Office by 10am on the morning next following racing, followed by a full report within 48hrs.

## **APPENDIX X**

## **STANDARD PENALTIES**

## **Notes**

- WARNINGS May only be given to Provisional licence holders one warning only per person per racing season.
- Stewards must enter any penalty incurred into licence concerned.
- Track Stewards have the power to issue fines up to a maximum of €250 and/or a suspension up to a maximum of two months.
- Where three amounts given, penalty dependant on severity, experience of jockey

e) Use of / means either - or - and at Steward's discretion.						
Rule No.	<b>Penalty</b>	<b>Demerit Points</b>				
SECTION E	STEWARDS OF THE ITHRF					
E4[a] E5[a] E6[a] E7 E9 E10 E19[e]	Suspend, Disqualify Suspend Warn off Fine up to €3000 Disqualify Warn off Suspend					
SECTION G	RACEFRAMING, HANDICAPPING					
G7	Disqualified, Fined					
SECTION H	ORGANISATION OF RACE MEETINGS					
H10[a] H16 H40 H41 H42	Exclusion from Racecourse Power to Impose Penalties Disqualification of an Official. Refer to ITHRF Disqualification of an Official. Refer to ITHRF Immediately Suspend and Refer to ITHRF					
SECTION J	ENTRANCE MONEY, PRIZE MONEY STAKES DISTRIBUTION					
J2 J4	Fine, Amount at Discretion of Stewards After 30 Days if not paid, Owner and Horse(s) suspended. Refer to ITHRF					
SECTION K	DECLARATIONS, DRAWING OF POST POSITIONS					
K5 K11 K12 K13	Pay Entry. If horse races within 48 hour period, trainer will be Only on Vet's advice. Horse may not run for 14 days. Fine and Horse must not race for 14 days  Stewards to decide					
SECTION L	<u>STARTING</u>					

L3	Treat as Careless Riding – Penalty according to class of licence
	1 <sup>st</sup> Offence, Fined €25, 1 weeks suspension
	2 <sup>nd</sup> Offence, Fined €35, 2 weeks suspension
	3 <sup>rd</sup> Offence, Fined €60,1 months suspension

3 3 3

L9 [g]	[h] [i] [j] [k]	Fined $\[mathebox{\ensuremath{$\in$}} 25, \ensuremath{$\in$} 50, \ensuremath{$\in$} 100$ Disqualified  Fined $\ensuremath{$\in$} 25, \ensuremath{$\in$} 50$ May be disqualified/Fine $\ensuremath{$\in$} 25$ Fined $\ensuremath{$\in$} 25, \ensuremath{$\in$} 50$ Eined $\ensuremath{$\in$} 25, \ensuremath{$\in$} 50$ Fined $\ensuremath{$\in$} 25$ + Horse disqualified if Stewards consider there was insufficient effort to control the horse  Trainer fined $\ensuremath{$\in$} 25$ Horse disqualified from race  Fined $\ensuremath{$\in$} 50$ if Stewards consider offence to have been deliberate  Fined $\ensuremath{$\in$} 25, \ensuremath{$\in$} 50$ Disqualify	2 2 1 1 2 2 3 3
SECTIO	<u> </u>	RACING AND TRACK RULES	
M1 M2	[a] [b] [a]	Trainer fined €30, €50  Jockey fined €30, €50  Treat as Careless Jockey - Penalty according to class of licence  1 <sup>st</sup> Offence: Fined €50, 2 weeks suspension  2 <sup>nd</sup> Offence: Fined €70, 4 weeks suspension  3 <sup>rd</sup> Offence: Fined €120, 2 months suspension	1 1 3 3 3
M3	[b] [c] [d]	Jockey fined minimum of €50  Jockey fined minimum of €50  Jockey fined minimum of €50  Jockey fined and suspended according to Steward's decision	1 1 1 5
M4	[a]	Horse disqualified, and Trainer interviewed as to his/her involvement.  Considered <b>reckless</b> driving, Horse disqualified from Race,  1 <sup>st</sup> Offence: Fined €100, 4 weeks suspension	5
	[b] [c] [d] [e]	2 <sup>nd</sup> Offence: Fined €200, 4 weeks suspension 3 <sup>rd</sup> Offence: Fined €200, 2 month's suspension Jockey fined €50 Jockey fined €50, Horse disqualified if result affected Steward's decision. If deemed intentional, as above Steward's decision. If deemed <b>careless</b> , penalise according to class of licence 1 <sup>st</sup> Offence: Fined €50, 2 weeks suspension 2 <sup>nd</sup> Offence: Fined €50, 4 weeks suspension	5 5 2 3 e: 3
	[f] [g] [h]	3 <sup>rd</sup> Offence: Fined€100, 2 months suspension Jockey fined €50, €100, €150 Jockey fined €50, €100, €150 Jockey fined: <b>If deemed careless</b> :  1 <sup>st</sup> Offence: Fined €50, 2 weeks suspension  2 <sup>nd</sup> Offence: Fined €50, 4 weeks suspension  3 <sup>rd</sup> Offence: Fined €100, 2 months suspension	3 3 3 3 3
	[i]	Steward's Decision, depending on weather, Track conditions, Race conditions. Fine/Suspend	3/5
M5 M6 M7	[j] [k] [l] [m] [n] [a] [b] [c]	Steward's Decision. If deemed <b>reckless or careless</b> treat as above. Steward's Decision  Owner/Trainer/Jockey Fined/Suspended. Steward's Decision.  Fined €50 - €100 - €200  Fined €50 - €100 - €150  Fined €50 - €100 - and/or suspension  Fined €50 - €100 - and/or suspension  Steward's Discretion, Fine €50  Fined €30 - €50  Automatic 2 month suspension and fine €200	1 1 1 1 2 1 1 1 5

M8	[a]-[f]	Fine / whip suspension / automatic suspension of jockey for 14 days,	
		or longer from midnight on the day of offence	3
		Continual whip misconduct shall be referred to the ITHRF	
M9		Fine and/or jockey suspension	1
M10		Automatic 2 month suspension, Horse disqualified	5
M11/M	12	If not complied with, Fine and/or suspension all categories	1/2
M15		Jockey Fined or suspended, Horse demoted or disqualified	3
M16	[a]	Reckless or Intentional:	
		Jockey penalties according to class of licence:	
		1 <sup>st</sup> Offence: Fined €100, 4 weeks suspension	5
		2 <sup>nd</sup> Offence: Fined €200, 4 weeks suspension	5
		3 <sup>rd</sup> Offence: Fined €200, 2 months suspension	5
	[b]	Careless - Jockey penalties according to class of licence:	
		1 <sup>st</sup> Offence: Fined €50, 2 weeks suspension	3
		2 <sup>nd</sup> Offence: Fined €50, 4 weeks suspension	3
		3 <sup>rd</sup> Offence: Fined €100, 2 months suspension	3
	[c]	Careless - Jockey penalised as above [b], horse demoted	
M17		Track Stewards to decide	
M18		Reckless or Intentional:	
		Jockey penalties according to class of licence	
		1 <sup>st</sup> Offence: Fined €100, 4 weeks suspension	5
		2 <sup>nd</sup> Offence: Fined €200, 4 weeks suspension	5
		3 <sup>rd</sup> Offence: Fined €200, 2 months suspension	5
		Careless - Driver penalties according to class of licence, horse demoted	
		1 <sup>st</sup> Offence: Fined €50, 2 weeks suspension	3
		2 <sup>nd</sup> Offence: Fined €50, 4 weeks suspension	3
		3 <sup>rd</sup> Offence: Fined €100, 2 months suspension	3
M20		As above, M18	
M21		Fine €50 and/or suspension, Horse may be disqualified	2
M22	[a]	Jockey Fined €50, €100	1
	[b]	Jockey Fined €50, €100	1
	[c]	Jockey Fined €50, €100	1
M23		As stated	_
M24		Jockey fined and suspended according to Steward's decision	5
3.505		Horse disqualified, and Trainer interviewed as to his/her involvement.	4
M25		As stated in rule	1
M26		Fine and/or suspension	1-5
M33		1st Offence: Warning	
		2 <sup>nd</sup> Offence: Fined €25	
		3 <sup>rd</sup> Offence: Fined €50	
		4 <sup>th</sup> Offence: Jockey stood down for one race day	vaa dar-
		These offences will be carried over from season to season. After serving a range of the inclosure will be continued and on the next offence will be forced.	
		suspension, the jockey will be cautioned and on the next offence will be fine	tu t23, E30

Q1		As stated in rule
Q4		Incorrect Flu Vac, Horse does not run
		Altered Flu Vac, Horse does not run, Owner, Trainer, Jockey
		Referred to ITHRF
Q14	[a]	ITHRF to decide. Buyer Fined, Horse suspended
	[c]	ITHRF to decide. Any party in chain of ownership, Fined:
		Suspended or expelled
	[d]	ITHRF to decide. Owner and or Trainer may be Fined: Suspended

SECTION Q HORSES AND EQUIPMENT

# **SECTION R EQUIPMENT**

R4 Fined €25, €50, €75, €100

SECTION S	GENERAL RULES GOVERNING PARTICIPANTS	
S12 S16 S17	€25, €50 Fined €100, Fourteen day [14] suspension Fined €100, Fourteen [14] day suspension	2
S20	Owner, Trainer, Jockey fined €25, and not allowed to race until licence is produced	1
S22 S23 S24 S25 S26	As stated in Rule Steward's decision, Refer to ITHRF As stated in Rule. Fined suspended for race day, report to ITHRF As stated in Rule. Fined suspended for race day, report to ITHRF As stated in Rule. Immediate suspension, refer to ITHRF	
S27 S28 S29 [a] [b]	Fine at Steward's discretion. Immediate suspension and report to ITHRF Fine at Steward's discretion. Immediate suspension and report to ITHRF Minimum Fine €25, Maximum €100, and suspension Minimum Fine €25, Maximum €100, and suspension. Report to ITHRF	3
\$30 \$31 \$32 \$33	Licence in all capacities suspended immediately, refer to ITHRF Fine/Suspension refer to ITHRF.  If infection known, suspend licences and refer to ITHRF Immediate suspension of all licences, refer to ITHRF	
S34 [a] S35 S36	As stated in Rule At the discretion of the ITHRF Fine/Suspension refer to ITHRF.	
S37	Steward's discretion, Fine €25 minimum, €100 maximum, Immediate suspension of all licences, refer to the ITHRF	
S38 S39 S40 S41	Refer to ITHRF Immediate 2 month's suspension first offence refer to ITHRF Immediate suspension, refer to ITHRF	
S42	Immediate suspension, refer to ITHRF Horse to be inspected by Vet. Decided on vet's report. If positive, immediate suspension all parties and refer to ITHRF	
SECTION T	OBJECTIONS AND APPEALS	
T4	Steward's discretion, Fine minimum €50 and/or suspension	
SECTION V	FINES, SUSPENSIONS, DISQUALIFICATIONS	
V4 V5 V6 V7	Fined minimum €50 Immediate suspension and report to ITHRF As stated in Rule, refer to ITHRF As stated in Rule, refer to ITHRF	
V9 [a] [b] V11	As stated in Rule, refer to ITHRF Refer to ITHRF ITHRF	
V13 [a] [b]	Refer to ITHRF	
SECTION W	OWNER'S, TRAINER'S, DRIVER'S LICENCES	
W16 [a] [b] W23	Horse disqualified, lose prize money, refer to ITHRF Fined €10 Steward's discretion	

W27 W37 W38 W44 W45		As stated in Rule, refer to ITHRF Fine €25 and/or suspension. Fined €100 As stated in Rule Fined €25, €50, €75, €100	1,2,3
W46		Fined €25, Horse disqualified	2
W48		Fined €15	1
W50		Fined €25	1
W51		As stated in Rule	
SECTIO	<u>ON Y</u>	MEDICATION AND DRUG CONTROL	
Y3	[a], [b]		
	[c], [e]	Fined €1,000. Suspension of all licences of the offending	
		licence holder for 365 days	
Y8		Fined €1,000. Suspension of all licences for 12 months	
Y24		First Offence: Fined €2,000 plus 24 month suspension Second Offence: Fined €4,000 plus 48 month suspension	

# **DEMERIT POINTS**

When a person reaches 10 demerit points, they will receive a written warning.

When a person reaches 12 demerit points there is an automatic 1 month suspension.

Demerit points are zeroed at the end of every season, except where a person receives demerit points one month before the end of the season – these are then carried forward to the next season.

The following are penalties for violations due to the presence of a drug carrying a Category "A" penalty:

LICENSED TRAINER (and/or Owner/Trainer, Owner/Trainer/Driver)		
1 <sup>st</sup> Offence	2 <sup>nd</sup> LIFETIME Offence in any	3 <sup>rd</sup> LIFETIME Offence in any
	jurisdiction	jurisdiction
Automatic three (3) year suspension of <u>all</u> licenses     Fine of not less than €3,000 or 20% of purse (whichever is the greater) if the horse returns a positive test     Trainer and/or Owner/Trainer where appropriate to pay costs of test/s and of hearing/s     May be referred to the ITHRF for any further action deemed necessary by the ITHRF	<ul> <li>Automatic five (5) year suspension of <u>all</u> licenses</li> <li>Fine up to €5,000 or 50% of purse (whichever is the greater) if the horse returns a positive test</li> <li>Trainer and/or Owner/Trainer where appropriate to pay costs of test/s and of hearing/s</li> <li>May be referred to the ITHRF for any further action deemed necessary by the ITHRF</li> </ul>	Automatic loss of <u>all</u> licenses_with no reapplication for ten (10) years (Warned Off)     Fine of not less than €10,000 or 75% of the purse (whichever is the greater) if the horse returns a positive test     Trainer and/or Owner/Trainer where appropriate to pay costs of test/s and of hearing/s      May be referred to the ITHRF for any further action deemed necessary by the ITHRF

LICENSED OWNER (and/or Owner/Driver)		
1 <sup>st</sup> Offence	2 <sup>nd</sup> LIFETIME Offence in owner's	3 <sup>rd</sup> LIFETIME Offence in owner's
	stable in any jurisdiction	stable in any jurisdiction
<ul> <li>Automatic disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Horse suspended from racing under ITHRF Rules for one (1) year</li> <li>Horse must pass a ITHRF Integrity Test before becoming eligible to be entered</li> <li>Owner to pay costs of test/s and of hearing/s</li> </ul>	<ul> <li>Automatic disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Horse suspended from racing under ITHRF Rules for one (1) year</li> <li>Horse must pass a ITHRF Integrity Test before becoming eligible to be entered</li> <li>Owner to pay costs of test/s and of hearing/s</li> <li>May be referred to the ITHRF for any further action deemed necessary by the ITHRF</li> </ul>	<ul> <li>Automatic disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Automatic three (3) year suspension of Owners licence</li> <li>Fine of not less than €3,000 or 20% or purse (whichever is the greater) if the horse is tested when entered for a race</li> <li>Horse suspended from racing under ITHRF Rules for one (1) year</li> <li>Horse must pass a ITHRF Integrity Test before becoming eligible to be entered</li> <li>Owner to pay costs of test/s and of hearing/s</li> <li>May be referred to the ITHRF for any further action deemed necessary by the ITHRF</li> </ul>

The following are penalties for violations due to the presence of a drug carrying a Category "B" penalty:

LICENSED TRAINER (and/or Owner/Trainer, Owner/Trainer/Jockey)		
1 <sup>st</sup> Offence	2 <sup>nd</sup> LIFETIME Offence in any	3 <sup>rd</sup> LIFETIME Offence in any
	jurisdiction	jurisdiction
Automatic one (1) year suspension of <u>all</u> licences     Fine of €1,500 or 20% of purse (whichever is the greater) if the horse returns a positive test     Trainer and/or Owner/Trainer where appropriate to pay costs of test/s and of hearing/s	<ul> <li>Automatic two (2) year suspension of all licenses</li> <li>Fine of €3,000 or 50% of purse (whichever is the greater) if the horse returns a positive test</li> <li>Trainer and/or Owner/Trainer where appropriate to pay costs of test/s and of hearing/s</li> <li>May be referred to the ITHRF for any further action deemed necessary by the ITHRF</li> </ul>	<ul> <li>Automatic five (5) year suspension of <u>all</u> licenses</li> <li>Fine of up to €5,000 or 75% of purse (whichever is the greater) if the horse returns a positive test</li> <li>Trainer and/or Owner/Trainer where appropriate to pay costs of test/s and hearing/s</li> <li>May be referred to the ITHRF for any further action deemed necessary by the ITHRF</li> </ul>

The following are penalties for violations due to the presence of a drug carrying a Category "B" penalty:

LICENSED OWNER (and/ or Owner/Jockey)		
1 <sup>st</sup> Offence	2 <sup>nd</sup> LIFETIME Offence in owner's	3 <sup>rd</sup> LIFETIME Offence in owner's
	stable in any jurisdiction	stable in any jurisdiction
<ul> <li>Automatic Disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Horse suspended from racing under ITHRF Rules for six (6) months.</li> <li>Horse must pass a ITHRF Integrity Test before becoming eligible to be entered.</li> <li>Owner to pay costs of test/s and of hearing/s.</li> </ul>	<ul> <li>Automatic disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Horse suspended from racing under ITHRF Rules for one (1) year.</li> <li>Horse must pass a BHRC Rules for one (1) year.</li> <li>Owner to pay costs of test/s and of hearing/s.</li> </ul>	<ul> <li>Automatic disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Automatic one (1) year suspension of Owners licence.</li> <li>Fine of €2,000 or 20% of purse (whichever is the greater) if the horse is tested when entered for a race.</li> <li>Horse suspended from racing under ITHRF Rules for one (1) year.</li> <li>Horse must pass a ITHRF Integrity Test before becoming eligible to be entered.</li> <li>Owner to pay costs of test/s and of hearing/s.</li> </ul>

The following are penalties for violations due to the presence of a drug carrying a Category "C" penalty:

LICENSED TRAINER (and/or Owner/Trainer)		
1 <sup>st</sup> Offence	2 <sup>nd</sup> in any three year period Offence in any jurisdiction	3 <sup>rd</sup> in any five year period Offence in any jurisdiction
<ul> <li>Automatic six (6) month suspension of Trainers licence.</li> <li>Fine of €500.</li> <li>Trainer and/or Owner/Trainer where appropriate to pay cost of test/s and of hearing/s.</li> </ul>	Automatic one (1) year suspension of Trainers licence.     Fine of €1,000.     Trainer and/or Owner/Trainer where appropriate to pay costs of test/s and of hearing/s.     May be referred to the ITHRF for any further action deemed necessary by the ITHRF.	<ul> <li>Automatic two (2) year suspension of Trainers licence.</li> <li>Fine of €2,000.</li> <li>Trainer and/or Owner/Trainer where appropriate to pay costs of test/s and of hearing/s.</li> <li>May be referred to the ITHRF for any further action deemed necessary by the ITHRF.</li> </ul>

LICENSED OWNER (and/or Owner/Jockey)		
1 <sup>st</sup> Offence	2 <sup>nd</sup> in any three year period Offence in	3 <sup>rd</sup> in any five year period Offence in
	any jurisdiction	any jurisdiction
<ul> <li>Automatic Disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Horse must pass a ITHRF Integrity Test before becoming eligible to be entered.</li> <li>Owner to pay costs of test/s and of hearing/s.</li> </ul>	<ul> <li>Automatic Disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Horse must pass a ITHRF Integrity Test before becoming eligible to be entered.</li> <li>Owner to pay costs of test/s and of hearing/s.</li> </ul>	<ul> <li>Automatic disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Automatic six (6) month suspension of Owners licence.</li> <li>Horse suspended from racing under ITHRF Rules for six (6) months.</li> <li>Fine of €1,000.</li> <li>Horse must pass a ITHRF Integrity Test before becoming eligible to be entered.</li> <li>Owner to pay costs of test/s and of hearing/s.</li> </ul>

The following are penalties for violations due to the presence of a drug carrying a Category "D" penalty:

LICENSED TRAINER (and/or Owner/Trainer)		
1 <sup>st</sup> Offence	2 <sup>nd</sup> in any 365 day period Offence in	3 <sup>rd</sup> in any two year period Offence in
	any jurisdiction	any jurisdiction
<ul> <li>Automatic three (3) month suspension of Trainers licence.</li> <li>Fine of €250.</li> <li>Trainer and/or Owner/Trainer where appropriate to pay cost of test/s and of hearing/s.</li> </ul>	<ul> <li>Automatic six (6) year suspension of Trainers licence.</li> <li>Fine of €500.</li> <li>Trainer and/or Owner/Trainer where appropriate to pay costs of test/s and of hearing/s.</li> </ul>	<ul> <li>Automatic one (1) year suspension of Trainers licence.</li> <li>Fine of €1,000.</li> <li>Trainer and/or Owner/Trainer where appropriate to pay costs of test/s and of hearing/s.</li> <li>May be referred to the ITHRF for any further action deemed necessary by the ITHRF.</li> </ul>

LICENSED OWNER (and/or Owner/Jockey)		
1 <sup>st</sup> Offence	2 <sup>nd</sup> in any 365 day period Offence in any jurisdiction	3 <sup>rd</sup> in any 365 day period Offence in any jurisdiction
<ul> <li>Automatic Disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Horse must pass a ITHRF Integrity Test before becoming eligible to be entered.</li> <li>Owner to pay costs of test/s and of hearing/s.</li> </ul>	Automatic Disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)     Horse must pass a ITHRF Integrity Test before becoming eligible to be entered.     Owner to pay costs of test/s and of hearing/s.	<ul> <li>Automatic Disqualification of horse (from any race it has competed in if a positive test is returned from either pre or post race testing)</li> <li>Automatic three (3) month suspension of Owners licence.</li> <li>Fine of €500.</li> <li>Horse suspended from racing under ITHRF Rules for three (3) months.</li> <li>Horse must pass a ITHRF Integrity Test before becoming eligible to be entered.</li> <li>Owner to pay costs of test/s and of hearing/s.</li> </ul>

#### CONSTITUTION OF THE IRISH TROTTING AND HARNESS RACING FEDERATION

- The name shall be the Irish Trotting and Harness Racing Federation Company Ltd. (ITHRF)
   Members of the ITHRF are Horse owners, Trainers, Drivers, Jockeys, Judges, Stewards, Safety
   Officers, Starters, and Race Committees and are all paid up members.
   An Executive is to be elected annually at the AGM.
   All outgoing members of the executive are eligible for re-election, all other members must be in
   good standing, as a member for the previous three years to be eligible for election.
- 2. All races must be under the ITHRF/IHRC rules. Any horse owner, driver, jockey, or anybody involved in the organisation of trotting and harness racing is expected to know the rules and abide by them. The executive reserved the right to co-opt members on the executive if necessary during the year.
  - (a) Any participant in trotting and harness racing, i.e., horse owner, jockey, driver, trainer, committee or other member, claiming or attempting to claim money from the ITHRF or organizing committees, will be removed from the sport.
  - (b) It is acknowledged by each member of the ITHRF that it shall not initiate, maintain, or prosecute any proceedings against the ITHRF committees or any other members (arising from their licensed membership of the ITHRF). If they so do, they will be removed from the sport.
  - (c) Any person who supports the objects of the ITHRF may apply for membership by giving or sending into the secretary a written application signed by him or her and two members as propose and seconded respectively. The committee may refuse to admit any person as a member without giving reasons to do so.
  - (d) Every licensed member so elected must hold and abide by the current ITHRF rule book and constitution.
  - (e) A licensed member shall cease to be a member; when.....
    - (i) If he/she gives to the secretary in written notice of resignation of his/her membership.
    - (ii) If he/she fails to pay any fees within one month after the due date of payment
    - (iii) If the member having committed some act or being guilty of behavior inconsistent with the membership of the ITHRF, the committee resolved by majority to remove him/her from membership.
    - (iv) If a licensed member fails to abide by the rule book and constitution.
- 3. All race entries to the receiver of entries on Sunday night at 9pm sharp. Once considered due fees must be paid before being allowed to race. All declarations and fees in by the second race at any given race day.
- 4. All feature events and races other than local derbies, will remain at all times the property of the ITHRF. Committees wishing to run any such races, i.e. All Ireland, etc. must apply in writing for permission to do so.
- 5. A horse owner executive member cannot be present when his/her horses are under discussion at an Executive Meeting.
- 6. Executive members absent from three consecutive members can be removed, unless satisfactory proof can be put forward as to their reason for their absence.
- 7. Appeals will be dealt by the ITHRF as follows:
  - (a) The executive will appoint an appeals panel when necessary.

- (b) The executive will ensure no member of the appeals panel will have any interest in the outcome of the appeal.
- (c) The appeals panel decision is final.
- (d) Appeals must be lodged in writing with a fee set by the executive, in the rulebook , set at the AGM.
- 8. (a) All new horses from outside of the ITHRF jurisdiction must produce identity papers, stating age, breeding and in the case of colts, may be subject to a vet's verification at the discretion of the Executive Committee.
  - (b) All horses, including breeding mares, potential trotters and foals, must have a registration book, showing markings, age and breeding vaccinations; first time registrations must have their horses sketched for same.
  - (c) All horses must be vaccinated annually Flu Vac.
  - (d) All horses in training must have their ownership and name of trainer declared to the Executive. All syndicate members must be named.
  - (e) Foals must be sketched and registered by the 31<sup>st</sup> December of any given year.
- 9. A disqualified horse which changes ownership may not compete until its term of disqualification expires.
- 10. The quorum for an Executive meeting is six (6). The quorum for an Extraordinary General Meeting is thirty (30).
- 11. All members must be affiliated to the ITHRF for a fee, set annually.
- 12. In line with EU directive 90/427/EEC, competition rules devised by the federation shall not discriminate between horses which are registered in Ireland and those registered in another member state of the European Union. Similarly, competition rules must not discriminate between horses origination in Ireland and those originating from another member state of the European Union.
- 13. Bye-laws may be accepted at a general or an Executive Meeting but must go forward for adaption at the next AGM.
- 14. Any person who applies for membership of the ITHRF, from an unaffiliated organisation will be dealt in the following method.
  - a. Letter of written application must be received to the secretary.
  - b. €100 deposit must be paid and will go towards the individual's insurance if he/she is accepted otherwise it will be returned.
  - c. If accepted, he/she must abide at all time the rules and constitution of the ITHRF.
  - d. He/she will be under probation for a certain amount time. This will be reviewed annually at the AGM.
  - e. He/she must produce a fully up to date account of the race records for his/her horses. This includes prize money won, the passport to be in his/her own name, a copy of all the race programmes in which he/she competed in, and a DVD of all the races in which he/she competed in. If all of this information is not received, then no grade for the horse will be issued.
- 15. Any member who participates at an unaffiliated race meeting will be placed on the forfeit list.

- (a) The Executive has the right to place anyone on this list, whom they deem to be bringing the sport into disrepute.
- (b) A person on this list and members of their family may not attend any race fixture or event organised by the ITHRF or any affiliated committee. They cannot register, train or run a horse at any meeting run under the ITHRF rules.
- 16. Each applicant will be dealt with individually.

## REGISTRATION OF JOCKEYS, DRIVERS, OWNERS, TRAINERS:

- 1. Fees: To be set annually
  - (a) The Executive reserves the right to refuse any or all applications. All horses must be registered by the 1<sup>st</sup> December for Road Racing and 1<sup>st</sup> April for Field Racing. New horses must be registered two weeks prior to racing. Failure to register on or before the first day means that he/she will incur a penalty of €10 and €5 for each race day thereafter.
  - (b) Registration of horses in the name of juveniles must be accompanied by a parent or guardian.
  - (c) The name of a horse cannot be re-used. All name changes will be granted at the discretion of the ITHRF executive.
- 2. The executive reserves the right to refuse a registration at all times.

## **IDENTIFICATION:**

- 1. The Executive Committee shall make regulations for a practical and effective system of identification, including blood typing, microchip, lip tattooing, freeze branding or any other method it deem appropriate, of horses registered with or to be registered with the ITHRF.
- 2. No person shall pace permanent identification of any kind on the skin or underside or the lips of a horse either prior to or following registration, without prior written permission of the ITHRF.

## **REGISTRATION OF NAMES:**

- 1. The name of the horse registered with the ITHRF shall not be:
  - (a) Comprised of four words or the total letters and spaces in the name exceed eighteen (18).
  - (b) The same as the name of a horse previously registered and active in racing or breeding unless 15 years have elapsed since any racing or breeding activity, except further where the applicant is able to establish to the satisfaction of the ITHRF that one of the following circumstances has occurred:
    - (i) The horse has died or had its name changed prior to becoming two years of age, or,
    - (ii) The horse died or had its name changed before racing or being used for breeding purposes;
  - (c) Misleading as to family, origin, relationship or sex;
  - (d) Offensive or vulgar;
  - (e) In whole or in part name of a famous or outstanding horse unless the famous or outstanding horse is the sire or dam of the horse to be registered;
  - (f) In whole or in part name of a living person unless the person consents in writing to the use of the name:
  - (g) Similar in spelling of pronunciation to a name already in use;
  - (h) The name of a famous or notorious person;

- (i) A trade name or a name claimed for advertising purposes, except a name or part of a name approved by the Executive Committee pursuant to Article 18.2(a), unless the use of the name is authorised in writing by the owner thereof.
- 2. Upon approval of the Executive Committee:
  - (a) A member may register for his exclusive use of a distinctive name to be used as a prefix in naming his horses;
  - (b) An approved prefix or suffix may be transferred to another member on application of the member in whose name it is registered.
  - (c) The registration of an approved prefix or suffix may be cancelled if the name has not been used in registering a horse for a period of five years.
- 3. An approved prefix or suffix shall only be used by the member to whom exclusive use was granted for the purpose of naming horses of which that member was the breeder.
- 4. The registrar may permit a name to be changed unless;
  - (a) The horse has races;
  - (b) The horse has served a mare
  - (c) The mare has been bred.